

**1. Title: Red, Yellow and Green; What does it mean? How the Progress  
Test informs and supports student progress**

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## Abstract

**Objectives:** Most medical schools using progress tests (PTs) provide feedback by identifying poorly-performing students utilizing a traffic-light system of green (satisfactory), yellow (borderline) and red (unsatisfactory) categories. There is little research assessing students' perceptions or usage of this feedback. This study proposed to determine the effectiveness of formative PTs at informing and supporting student progress.

**Methods:** A mixed methods study was performed, involving a retrospective analysis of a results database to establish the predictive validity of PT categories and semi-structured interviews to explore students' perceptions of PT feedback.

**Results:** Quantitative analysis revealed that students who always scored green performed better in their summative exams and graduated with a higher final degree than those who received a yellow or red category at least once. Qualitative analysis revealed that most students scoring well perceived the PT as having informed their progress with all students scoring poorly perceiving that it didn't inform their progress. Most students agreed that the current feedback is insufficient and doesn't guide their on-going learning.

**Conclusion:** While this study demonstrated that the PT is a useful predictive tool for informing student progress, in its current format it's not fulfilling a truly formative role and thus supporting student progress sufficiently.

## **Introduction**

### **Progress Tests**

Progress tests (PTs) are longitudinal, repeated assessments measuring knowledge acquisition over time, set at the level of a newly-qualified doctor, but completed by all students throughout their training (Wrigley et al., 2012). Although progress-testing is widely used internationally, its content and implementation in different medical schools varies (Wrigley et al., 2012, Freeman et al., 2010). Furthermore while most schools identify poorly-performing students, by utilizing satisfactory, borderline and unsatisfactory categories, the amount of additional feedback is also variable across institutions (Blake et al., 1996, Wade et al., 2012).

### **Formative role of progress-testing**

PTs are used either for formative or summative assessment (where they may also provide a formative role) (Wrigley et al., 2012). Koh summarised the benefits of formative assessment on student learning as: (1) 'development of deep thinking', (2) 'maintenance of motivation and self-esteem' and (3) 'encouragement of self-regulated learning' (Koh, 2008 p224-225). While formative progress-testing aims to reap these benefits, its success in the latter two areas has not yet been fully investigated.

In 1996, van der Vleuten proposed that the utility of an assessment depends on its validity, reliability, educational impact, acceptability and costs (van der Vleuten, 1996). These aspects are weighted differently depending on the purpose of the assessment. Where the purpose is formative, as is often the case with progress-testing, educational impact and acceptability should be considered high priority.

Studies have indicated that students may not take purely formative PTs seriously (Wrigley et al., 2012, Nouns and Georg, 2010). A survey of 1500 students found that students were motivated to take formative PTs seriously when they understood the concept of formative testing and used their results to assist future learning (Nouns and Georg, 2010). However, how students used their results to assist learning was not investigated as part of this study.

### **Feedback from progress-testing**

Feedback is a key feature of formative assessment (Rolfe and McPherson, 1995, Rushton, 2005, Ende, 1983). The effectiveness of feedback depends on the content and method by which it's given, as well as the mind-set of the recipient (Archer, 2010). Negative feedback can provoke an adverse emotional response which can affect how the feedback is accepted and subsequently used, and may result in missed learning opportunities (Sargeant et al., 2008, Baron, 1988).

A recent quantitative study compared student perceptions of the PT in two UK universities, where feedback is provided in different ways (Wade et al., 2012). School-A delivered feedback by giving students their total score and a norm-referenced band. School-B students received an electronic log with their score (total and by subject area) and a norm-referenced band as well as key learning points for each question, and individual feedback from an academic tutor biannually. Despite School-B's seemingly comprehensive feedback, students still perceived it as insufficient, although less so than at School A (Wade et al., 2012). A qualitative component which was not undertaken may have helped understand why the students regarded the feedback as insufficient and explored how they used this feedback.

In Maastricht, students undertake the PT every three-months and may review the questions and answers afterwards. Their scores are aggregated to different sub-scores by organ systems or disciplines. Interestingly most students have a low level of interest in checking answers

and reviewing their sub-scores (van der Vleuten and Verwijnen, 1996). If students tend not to look at the detailed feedback received, the question arises as to whether it's worth investing resources to provide this type of feedback? Alternatively, should we be encouraging more active engagement with feedback?

### **Predictive validity of progress-testing**

Where a test is used summatively, it naturally predicts progression. When used only formatively, it's beneficial to know if it predicts performance in subsequent summative exams, hence informing student progress. Staff can then implement remediation and students can direct their study accordingly.

Although it's assumed that collated longitudinal PT data is a better predictor of future performance than one-off measurements (Schuwirth and van der Vleuten, 2012), institutions vary in their use of individual test or aggregated results. For those using aggregated results, many different statistical methods of collating results exist (Blake et al., 1996, Schuwirth and van der Vleuten, 2012, McHarg et al., 2005, van der Vleuten and Verwijnen, 1996, Ricketts and Moyeed, 2011). The predictive validity of PTs therefore is likely to vary depending on the collation method used and on what it is trying to predict. While some research has already been undertaken on the reliability and predictive validity of individual or aggregated raw scores, there have been no studies investigating the predictive validity of the satisfactory, borderline and unsatisfactory categories commonly given to students with each individual test result.

## **Aim of study**

How students value and trust progress-testing is important when assessing its educational impact and acceptability. From the limited existing research, which lacks a detailed qualitative component, it's unclear how students perceive and use PT feedback, particularly as to whether it guides their on-going learning. It's also uncertain if students' result categories in individual PTs over time are a reliable predictor of progression.

The aim of this study therefore was to explore the effectiveness of the formative PT in informing and supporting student progress at University of Limerick Graduate-Entry Medical School (UL-GEMS) looking in particular at:

- a) PT category as a predictor of student performance (categories defined as green/satisfactory, yellow/borderline and red/unsatisfactory).
- b) Students' perception of the PT and whether the corresponding feedback informs and supports their progress.

## **Methods**

### **Research paradigm and strategy**

Pragmatism, which aims to solve practical problems resulting in useful consequences provided the philosophical basis for this study (Feilzer, 2010). A convergent parallel mixed methods design was selected, fitting well within the pragmatism paradigm, which draws on both positivism and interpretive epistemology (Creswell and Plano Clark, 2011).

## Context

This study was conducted at UL-GEMS, which delivers a four-year graduate-entry medical programme. Their progress test is sourced from McMaster University in Canada, where it was introduced in 1992 (Blake et al., 1996). Students undertake this compulsory formative test biannually. The following feedback is provided to students online:

- Raw and corrected score (items correct – (0.25 X items incorrect)) as percentages
- Last four scores to see progression
- % attempted – of this % correct and incorrect
- Breakdown into three sub-categories: Biology, Behaviour and Population
- Class average and standard deviations
- Category - red, yellow or green reflecting their performance in relation to their year-group. Their category is assigned based on their Z-score, derived when their raw scores are norm-referenced within each student cohort using the equation  $Z\text{-score} = (\text{student score} - \text{mean score}) / \text{standard deviation}$ . Red represents unsatisfactory ( $Z < -2$ ), yellow borderline ( $Z \geq -2; < -1.5$ ) and green satisfactory ( $Z \geq -1.5$ ). (Finucane et al., 2010)

All students in the red category attend a compulsory meeting with a senior faculty member where they receive more detailed verbal feedback regarding their progress. Students scoring yellow are invited to meet their academic tutor for further feedback.

Summative knowledge exams (SKE) in each module are scored from 0 to 100%. Degrees are awarded to students based on a quality cumulative average (QCA) score over modules in the final two years of the programme (Table 1).

Ethical approval was granted from the University's Faculty of Education and Health Sciences Research Ethics Committee.

## **Quantitative phase**

### **Methodology**

A retrospective analysis of an existing PT results database linked to a summative results database was undertaken.

### **Participant selection**

The population studied included all four cohorts of students who have graduated from UL-GEMS since it opened in 2007 (n=285).

### **Data analysis**

Due to small numbers of students allocated to red or yellow categories, these were combined into a new category, “combined flags”. Therefore for the purpose of this paper, a flag refers to both the yellow and red categories. To establish the predictive validity of PT categories, a new variable was created based on each student’s eight PT results over their four years of study to divide students into either “all green” (scoring green in all tests) and “ $\geq 1$  flag”(scoring either yellow or red in one or more tests) categories. The graduating QCA score for these two categories was then compared across all students. In addition, the “all green” and “ $\geq 1$  flag” variables were calculated for each individual year and related to the SKE result for the corresponding year. Numeric variables were tested for normality and summarised using mean (standard deviation). The differences between mean QCA and mean SKE results for the two PT categories (“all green” and “ $\geq 1$  flag”) were explored using independent samples t tests. A 5% level of significance was used for all statistical tests. Statistical analysis was carried out using SPSS Version 21 for Windows.

## **Qualitative phase**

### **Methodology**

A pragmatic qualitative research (PQR) approach was selected because it simply seeks to explore and understand the viewpoints of the participants involved. In keeping with the paradigm of pragmatism, PQR utilizes the most practical methods available to answer the research question (Savin-Baden and Howell Major, 2013, Caelli et al., 2003).

### **Participant selection and recruitment**

The sampling frame was the current second to fourth-year students and 2013 graduates currently completing their internship in Ireland (n=428). An independent gatekeeper emailed students an invitation letter and information leaflet. To decide which of the 18 respondents to interview, the 'maximum variation' type of purposive sampling was used (Marshall, 1996).

Table 2 outlines the rationale for inclusion of each sampling parameter.

### **Data collection**

Eleven one-on-one, face-to-face, semi-structured interviews were conducted by the researcher using an interview protocol developed from the literature review, discussion with students and pilot interview. It was adapted as themes developed through the iterative process of data collection and analysis (Savin-Baden and Howell Major, 2013). Interviews were audio-recorded with consent and subsequently professionally transcribed verbatim. They lasted on average 58 minutes (range 42-76 minutes). Data collection continued until saturation was reached.

### **Data analysis**

Interview data was analysed using thematic analysis (Braun and Clarke, 2006). The process of thematic analysis involved 5 phases of coding, with the computer software package, NVivo version-10 being used to assist the analysis. Various strategies were used to optimise the rigour of the analysis including member checking with all participants reviewing their

transcripts, peer debriefing with research supervisor, completing an audit trail and employing a reflexive approach throughout (Houghton et al., 2013, Lincoln and Guba, 1985).

## Results

### Quantitative results

**PT category (“all green” or “ $\geq 1$  flag”) as a predictor of student performance:**

*a) Relationship between PT category and graduating QCA*

A graduating QCA was available for 272 students of the 285 analysed. Of these 272 students, 208 (76%) scored green in all eight PT results. The mean graduating QCA of this group on a scale of 0 to 4 was 2.76 (SD 0.28) compared to a mean of 2.54 (SD 0.21) for the group who received one or more flags (n=64; 24%).

The difference of 0.22 in mean QCA between the two groups (“all green” versus “ $\geq 1$  flag”) was statistically significant (95% CI for the difference 0.16-0.29,  $p < 0.001$ ). No-one with more than one flag (i.e.  $\geq 1$  flag) received a 1<sup>st</sup> class honours degree and only 1 student with  $\geq 1$  flag achieved a 2.1 degree.

*b) Relationship between PT category and SKE results*

Students receiving a flag in each individual year (comprising two PTs) got lower results, on average, in the corresponding SKE compared to those who received green in both tests. The differences in mean SKE between these two groups for each year were statistically significant ( $p < 0.001$ , Table 3).

## Qualitative results

Table 4 outlines the demographics of participants. Figure 1 illustrates the four inter-relating themes generated from the qualitative analysis with feedback as an integral component of all themes.

### Theme 1: Informing progress

#### *Students' perceptions of how the PT informs their progress*

Students were almost equally divided with the majority of students scoring green believing it was a relatively accurate reflection of their progress, while all students receiving a flag did not believe it was an accurate reflection.

*"I don't think there will be a huge correlation between that (PT) and the end-of-year results." (P6)*

Some students explained that the PT may not be an accurate reflection of progress for certain colleagues due to lack of effort.

### Theme 2: Feedback

#### *Students' perceptions of online written feedback*

Overall, most students find this feedback insufficient, particularly those scoring green:

*"You can't really use it to your advantage because you've no feedback from it really, like feedback that's useful." (P10)*

Most students like getting their last 4 scores so they can see their progression as well as the class average and standard deviations so they had a better idea of where they were in the class. None of the students found the breakdown into 3 sub-categories useful as they felt the headings were too broad. While students like getting feedback from the PT and want more feedback, some students do not look at, understand or use the feedback currently provided:

*“You get this load of numbers comparing each class and you know adjusted and corrected and all this, I don’t fully understand it.” (P3)*

### **Theme 3: Educational impact**

The section below focuses on aspects, other than informing progress and feedback that affect the educational impact of the PT.

#### ***Students’ understanding of the purpose of the PT***

Most students believed the purpose of the PT was to allow UL-GEMS to compare itself to other schools (Figure 2). The international students in particular valued this comparison. Approximately half the participants did appreciate the formative nature of the PT but some displayed a lack of understanding of formative assessment:

*“People would be fine with it (PT) if it was part of the assessment, but because it’s not, that’s where the negativity comes in because they feel it’s not relevant” (P4)*

#### ***PT as a learning instrument***

An overwhelming theme from the interviews was that students did not find the PT a useful learning instrument:

*“The benefit of learning comes from seeing your mistakes and being able to like learn from them and we don’t get that from the PT.” (P10)*

The main way students thought the PT could become a learning instrument was by improving the feedback. The overwhelming student request was to see the PT answers afterwards. A few participants thought seeing the questions would be better, recognising the benefits of active learning. They felt that getting a list of specific topics of questions they got wrong would be useful or at least a more detailed breakdown into specialities and sub-specialities.

*“Then it’s very much turned it into an individual exam because they’ve given you these sort of pointers” (P9)*

### ***Effect of the PT on motivation and self-esteem***

Although students didn’t change what or how they studied, the PT did provide most students in the green category with motivation to study. Of the students receiving a flag, one found the PT a de-motivator,

*“I found it like a de-motivator and something that made me question my ability” (P5)* while the other two claimed it had no effect on their motivation levels. No students prepared specifically for the PT (as intended); however the mid-term timing of the PT encouraged them to increase their usual self-directed learning earlier than they might otherwise be inclined to do. How the PT affected students’ confidence levels varied depending on their result category (Figure 3).

### **Theme 4: Acceptability**

While the above three themes all influence the acceptability of the PT to students, this section specifically focuses on students’ perceptions of the PT which also affect its acceptability.

#### ***Students’ perceptions of the PT***

Participants sensed that the general consensus among students was negative towards the PT:

*“Most students impression of it is it’s time wasting because we’re not gaining anything from it” (P1)*

Despite this apparent negative general consensus, only a minority of the interviewees had an overall negative opinion of the PT, with the majority being neutral or positive. Participant 11 felt students’ results in the PT may affect their opinion:

*“I think people dismissed it who probably didn’t do well in it”*

This was supported by the data which showed that those who were always in the green category were more likely to have a positive opinion than those who received a flag (Figure 4).

Despite a previous study demonstrating that students in later years were more positive towards the PT (Wade et al., 2012), no relationship between year-group and opinion was evident in this data. However non-EU students who performed marginally better than EU students in a previous study conducted by UL-GEMS were more favourable towards the PT than EU students (Finucane et al., 2013).

## **Discussion**

This study investigated the effectiveness of the PT in informing and supporting student progress in UL-GEMS.

Quantitative analysis demonstrated that the PT categories have predictive validity for subsequent summative exams. While it was clear from the qualitative analysis that students who performed poorly and a small number of those performing well did not appreciate this predictive value, it's hoped that the quantitative findings in this study will improve the credibility of PT categories as an informer of student progress and thus increase the likelihood of PT feedback being trusted and accepted (Archer, 2010).

It should be acknowledged, however, that not all students receiving a flag performed poorly in subsequent summative exams, although it was not within the scope of this study to determine whether getting a flag led these students to improve their study and thus perform better in summative exams. However some students did comment on the potential of scoring green providing a false sense of security. Further studies of the raw scores of students in the

green category who subsequently perform poorly in summative assessments is therefore necessary to establish if those in this category who subsequently underperform can be identified by the PT.

Previous studies have shown that using aggregated scores reduces the effect of measurement errors and should more accurately rank order students (Ricketts and Moyeed, 2011, Muijtjens et al., 2010). Increasingly universities are now using aggregated results but they differ in their methods of aggregation, weighting of tests and the optimal number of tests included (McHarg et al., 2005, Schuwirth and van der Vleuten, 2012). While the use of aggregated scores is not as important when PTs are used formatively, one could argue that it would also more accurately identify students in need of remediation and its use should therefore be considered in this setting also.

With respect to the qualitative analysis, feedback was integral to all themes generated and was a necessary component for informing and supporting student progress. It was clear that UL-GEMS students wanted more feedback, which is not unique to this particular cohort (Ende, 1983). The qualitative results also suggest that students do not fully understand or reflect on the feedback given, in keeping with previous research both on feedback in general and in relation to the PT (Wade et al., 2012, van der Vleuten and Verwijnen, 1996).

It was also clear from this study that the majority of students did not view the PT as a learning instrument but regarded its chief purpose as a benchmarking exercise for the School. This may reflect the current UL-GEMS philosophy whereby the PT is used as a test of knowledge acquisition and for benchmarking purposes, rather than in the true meaning of a formative assessment instrument, where the focus is on providing feedback (Wrigley et al., 2012, Rushton, 2005).

The findings also suggested that a minority of students may not take the PT seriously, as is known to occur with formative tests and which may skew the results (Nouns and Georg,

2010, Wrigley et al., 2012). It has been shown that students are more likely to take PTs seriously when they understand the concept of formative assessment (Nouns and Georg, 2010). Therefore, focusing on increasing learning from progress-testing and on improving student understanding of its formative role could potentially increase the proportion of students taking the test seriously which in-turn might better inform student progress and improve learning.

Other benefits of formative assessment include the provision of motivation and reassurance, leading to increased self-esteem (Koh, 2008). It has also been acknowledged in the literature that negative feedback may reduce self-esteem and elicit other negative emotional responses that can hinder the use of feedback (Archer, 2010, Baron, 1988, Sargeant et al., 2008). In the case of students scoring green in this study, the PT did indeed increase motivation and confidence. However, confidence was reduced and negative emotions were revealed for two of three interviewees who performed poorly. It is imperative therefore that all efforts should be made to deliver feedback in the most effective way, providing appropriate support to the recipient (Archer, 2010, Veloski et al., 2006, Sargeant et al., 2008).

While this was a small study conducted in one institution and as different medical schools administer progress tests, calculate results and give feedback in different ways, one might question the generalizability and transferability of the findings. This study however has led to the following recommendations being defined which are applicable to all institutions who implement progress testing:

- PT categories as well as raw and aggregated scores are a useful predictor of student progress.

- The use of aggregated PT results in the formative setting may further assist in identifying students in need of remediation.
- When embarking on progress testing institutions should contemplate the function of the PT and implement it accordingly.
- Improving student understanding of the purpose and benefits of the PT is critical to informing progress and improving learning
- Students should be encouraged to reflect on all feedback provided rather than only the category.
- Feedback should be delivered in the most effective way, providing appropriate support to the recipient.

This study has also identified opportunities for future research, in particular looking at:

- The raw scores of students in the green category who subsequently underperform in summative assessments to establish if these students can be identified by the PT and receive early feedback and remediation.
- How students actually use the more comprehensive feedback given in some institutions and on whether usage of this feedback leads to improved performance.
- Opinions of poorly-performing students for whom the feedback may have negative effects and who have most potential to benefit from such feedback.

## Conclusion

This study presents the first in-depth insight into students' perceptions of the PT and its corresponding feedback. While this study has demonstrated that simple feedback categories given with PT results inform students how they are progressing and predict subsequent performance, it has also revealed that in its current format, the PT is not fulfilling a truly formative role. It is hoped that greater emphasis on the content and delivery of resulting feedback may lead to improved educational impact and acceptability of the PT for students, without compromising its role in quality assurance.

### Practice Points

- Progress test categories are a useful predictor of student progress.
- Institutions using progress tests formatively to guide on-going learning must provide sufficient feedback with appropriate support to the recipient.
- Students should be encouraged to reflect on all feedback provided rather than only the category.
- Improving student understanding of the purpose and benefits of progress testing is critical to enhancing learning.

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**Declarations of Interest**

The authors report no conflicts of interest. The authors alone are responsible for the content and writing of the article.

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**Table 1: Interpretation of QCA scores**

QCA score	Equivalent % Score	Equivalent degree
3.4-4.0	>80	First class honours
3.0-3.39	>70	Second class honours grade 1 (2.1)
2.6-2.99	>60	Second class honours grade 2 (2.2)
2.0-2.59	>50	Third class honours

**Table 2: The rationale for each sampling parameter**

Sampling Parameter	Rationale
Category in PT	The PT should inform and support the progress of all students.
Year-group	A previous quantitative survey showed students in year 4 were more positive about PTs than those in earlier years (Wade et al., 2012).
Nationality – European-Union(EU) & non-EU	A previous UL-GEMS study revealed international students performed marginally better in the PT than Irish students (Finucane et al., 2013). Comparing EU and non-EU perceptions may therefore be useful.

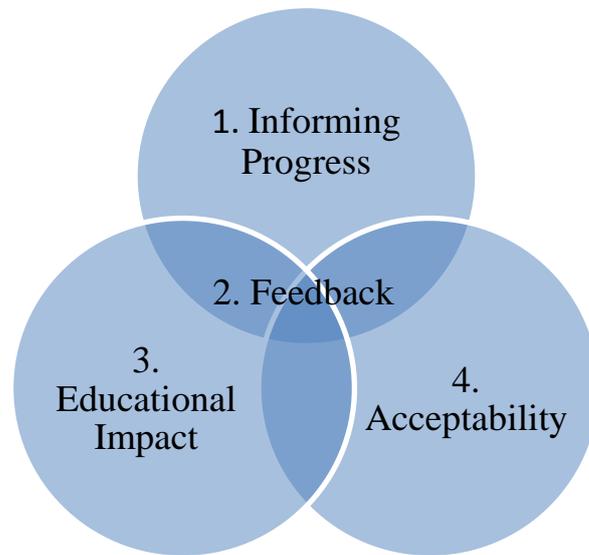
**Table 3: Year 1-4 SKE results (%) for students always in the green category and those receiving  $\geq 1$  flag in each year**

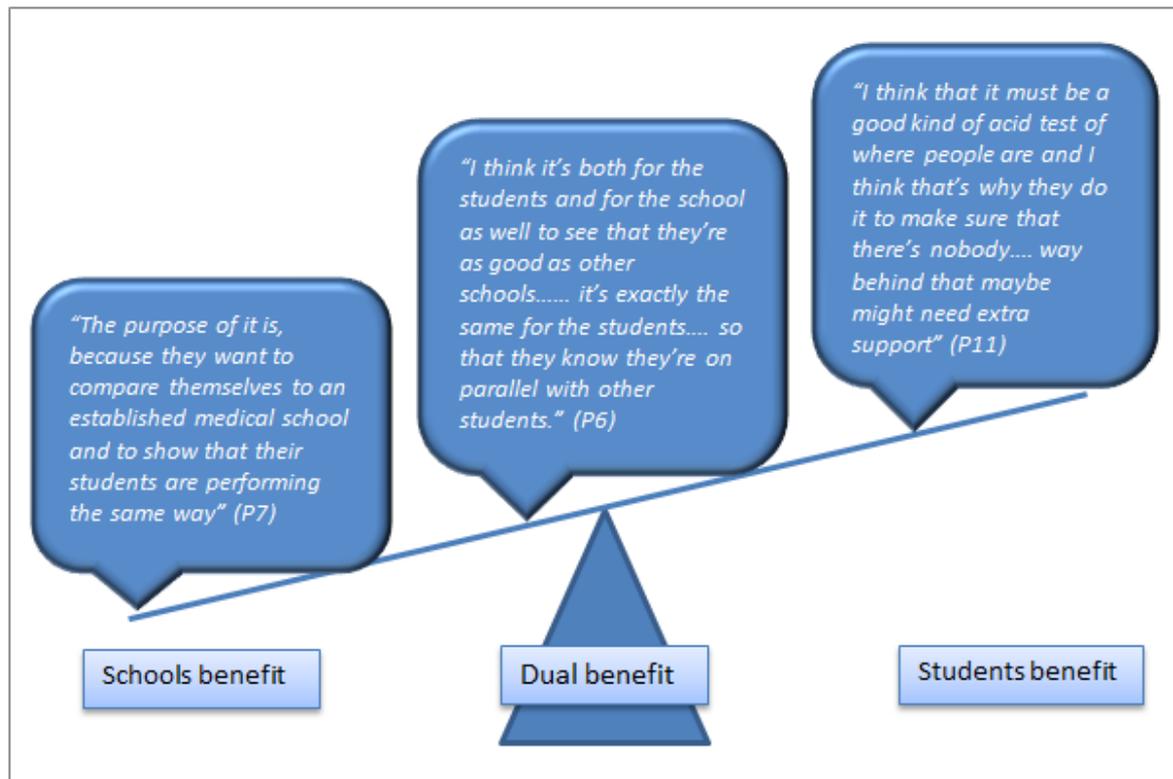
	A) All green	B) $\geq 1$ flag	Mean difference between A) and B) (95% CI)	p-value, independent samples t test
Mean SKE Year 1 (SD) (n=284)	66.0 (7.23) (n=264)	59.8 (8.36) (n=20)	6.2 (2.90-9.58)	<0.001
Mean SKE Year 2 (SD) (n=274)	68.7 (7.22) (n=253)	62.2 (6.57) (n=21)	6.5 (3.12-9.94)	<0.001
Mean SKE Year 3 (SD) (n=272)	70.0 (6.25) (n=243)	64.1 (5.30) (n=29)	5.9 (3.54-8.30)	<0.001
Mean SKE Year 4 (SD) (n=268)	70.6 (5.96) (n=240)	63.7 (5.09) (n=28)	6.9 (4.61-9.23)	<0.001

**Table 4: Demographics of participants**

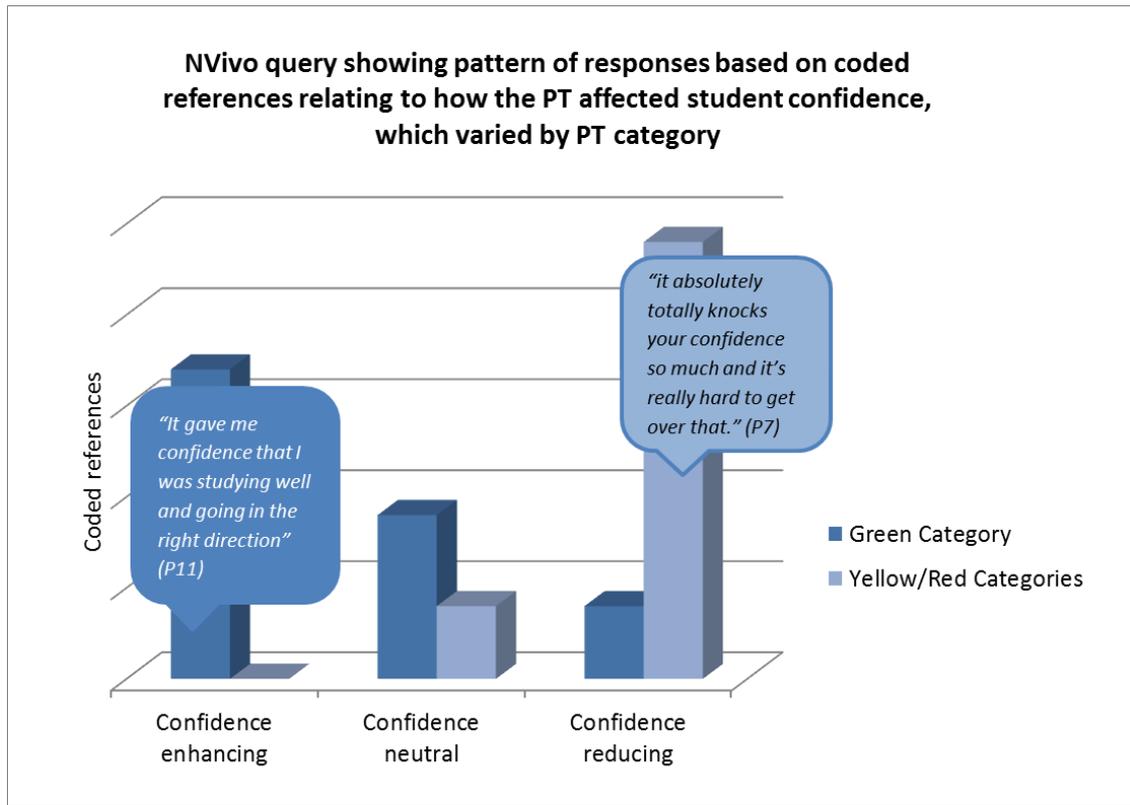
Year	EU Status	Category in PTs
2 Interns	8 EU	8 All Green
3 Fourth-years	3 Non-EU	3 Both Red and Yellow
4 Third-years		
2 Second-years		

**Figure 1: Thematic diagram**



**Figure 2: Purpose of the PT**

**Figure 3:**



**Figure 4:**

