

1 **SUB-VASTUS APPROACH IS MORE EFFECTIVE THAN A MEDIAL**
2 **PARAPATELLAR APPROACH IN PRIMARY TOTAL KNEE**
3 **ARTHROPLASTY: A RANDOMIZED CONTROLLED TRIAL**
4

5 **Abstract**

6 In a prospective single-center longitudinal randomized controlled trial 116 patients were
7 allocated to the sub-vastus approach, and 115 to the medial parapatellar approach. At one
8 week follow-up, compared to baseline, range of motion, Knee Society (KS) global, KS
9 knee, and KS pain scores were significantly better in the sub-vastus group. At the one year
10 follow-up WOMAC global and pain scores, SF36 physical function and role-physical
11 scores, and EuroQol utility and pain score were significantly better in the sub-vastus
12 group. The ease of exposure in the sub-vastus approach was significantly worse. **There**
13 **was no significant difference in length of stay or analgesia intake.** The sub-vastus approach
14 to total knee arthroplasty was more effective than a medial parapatellar approach at both
15 one week and fifty-two weeks post-operatively, but surgeons reported a less easy exposure
16 in the sub-vastus group.

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18

19 **Keywords;** total knee arthroplasty, sub-vastus approach, RCT, randomized, osteoarthritis
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21 **Running Title.** Sub-vastus v Medial Parapatellar Approach in Total Knee Arthroplasty
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24

25 **Introduction**

26 Knee arthritis is a common disabling problem and when advanced and following failure of
27 conservative measures total knee arthroplasty is a recommended option [1,2]. In small
28 randomized controlled trials patients who received a sub-vastus approach were reported to
29 have better short-term functional outcomes than those treated with the traditional medial
30 parapatellar approach [3,4,5,6]. The issue of best choice of surgical approach remains
31 controversial [7]. In one survey, the medial parapatellar approach was reported to be used
32 for the vast majority of total knee replacements [8]. Each approach has potential
33 advantages and drawbacks, and to our knowledge there has been no reasonably sized
34 comparison of the two approaches with a one-year follow-up.

35

36 We performed a single-center longitudinal, prospective, randomized controlled trial to
37 compare the sub-vastus approach (the “intervention” group) to total knee arthroplasty with
38 the medial parapatellar approach (the “control” group). We wished to determine the
39 impact of these choices of sub-vastus or medial parapatellar approach on functional and
40 clinical outcomes, up to one year after surgery.

41

42

43 **Materials and Methods**

44 *Setting and Locations*

45 The trial was approved by the local Ethics and Scientific Merit Committees. Seven
46 accredited consultant surgeons in one English orthopaedic university-affiliated teaching
47 hospital participated. Surgeons mainly consulted and operated at this hospital, but they
48 also operated at another English university-affiliated teaching hospital forty miles from the
49 main hospital, and at a local private hospital. Recruitment began in February 2001, and the
50 last participant was recruited in August 2003. A Trial Steering Group chaired by a senior
51 clinical researcher from another institution met on a six-monthly basis. An independent
52 Data Monitoring Committee was chaired by a senior medical statistician who had no other
53 involvement in this study. The decision to discontinue was only to be made if the results
54 were likely to be convincing to our clinicians, participants in the trial and the general
55 clinical community. A nominal significance level for stopping the trial was $p \leq 0.02$ [9].

56 *Eligibility Criteria*

57 Eligible patients were those awaiting a primary unilateral total knee arthroplasty for any
58 indication, who had not had or were not planned to have a major arthrotomy in the other
59 knee within 12 months, who had not had previous open surgery in or around the knee in
60 the previous 12 months, (e.g. high tibial osteotomy, femoral osteotomy, fracture fixation,
61 patellar realignment, patellectomy and open meniscectomy), who had a valgus angle of \leq
62 20° , and who had a surgeon who had no clear preference for either surgical approach.

63 *Randomization*

64 At the start of the trial, participating surgeons elected to randomize patients to be treated
65 with either one of two approaches, the sub-vastus approach, or medial parapatellar

66 approach. Entry of a patient into the trial and treatment allocation were accomplished
67 through a remote computer-based telephone service. The **operating consultant** surgeon
68 telephoned the trials office to have the patient allocated to an approach, when their patient
69 was escorted to the anaesthetic room immediately prior to surgery. Patients were
70 allocated with minimisation on surgeon and gender [10].

71 *Details of the Interventions*

72 The two randomly allocated treatments were a medial parapatellar approach [11] and a
73 sub-vastus approach [12] for total knee arthroplasty. The **operating consultant surgeon**
74 responsible for the care of each patient ensured that all procedures were performed by
75 surgeons who were competent to undertake the allocated procedure, and performed or
76 directly supervised all operations. The Low Contact Stress (LCS®, Depuy) Mobile-
77 Bearing Total Knee prosthesis was used in all procedures. Subsequent management
78 followed the **consultant orthopaedic** surgeon's standard care pathway and practice,
79 including if necessary the management of any complications.

80 *Data Collection*

81 Data were collected at five main time-points, on hospital admission prior to surgery, in
82 hospital one-week post-operatively or at home if already discharged, and in the clinic at six
83 weeks, 12 weeks, and one year following surgery. A blinded trained research
84 physiotherapist or physiotherapy assistant recorded clinical measurements. The operating
85 surgeon, who otherwise had no role in collecting or analyzing data, recorded surgical
86 details and peri-operative measures. Questionnaires were given to patients, explained, and
87 collected by a research nurse (GW). The nurse (GW) or research assistant (DC) ensured

88 data completeness. The nurse collected other clinical data from case-notes. Participants
89 were blinded to approach.

90 ***Outcomes***

91 The primary outcome measure for this study was change in Knee Society Score [13] at one
92 year compared to baseline, with this measure a secondary outcome at other time-points 1,
93 6 and 12 weeks post-operatively. The Knee Society Clinical Rating System, is composed
94 of a knee score and a functional score. The knee score is made up from components of
95 pain, stability and range of motion, with deductions for flexion contracture, extension lag
96 and **malalignment**. The pain component is measured on a 7 point scale from 0 points
97 (severe) to 50 points (none). The functional score considers walking distance and stair
98 climbing, with deductions for walking aids. Both the knee and functional scores range
99 from 0 (worst) to 100 (best).

100 The Western Ontario McMaster Osteoarthritis Index (WOMAC) [14], is a 24-item arthritis
101 and lower limb specific measure of pain (5 questions), stiffness (2 questions) and physical
102 functional difficulty (17 questions). It is available in two formats, visual analogue and
103 Likert scales with similar metric properties [15]. We used the format with 5 Likert-boxes
104 per item. We calculated a summary score for each dimension, with maximum scores of 20,
105 8 and 68 for the Likert version [14], with lower scores better. Its reliability, validity and
106 responsiveness are well-established [16]. The pain component of the WOMAC ranges
107 from 0 no pain on any question, to 20, extreme pain on each question.

108

109 The Medical Outcomes Study Short Form 36 (SF36) [17] is a self-completion
110 questionnaire consisting of 36 items across eight components; physical function (10 items);

111 role limitation due to physical problems (4 items); bodily pain (2 items); general health (5
112 items); role limitation due to emotional problems (3 items); social function (2 items);
113 mental health (5 items); energy/vitality (4 items). It is usually used to provide an eight-item
114 profile of scores across the range of health domains, although physical and mental
115 summary scores combining the first four, and last four items can also be used [18]. It is a
116 generic measure, as opposed to one that targets a specific age, disease, or treatment group.
117 All scales were transformed to score between 0 (worst) and 100 (best).

118 The EuroQol [19] is a general measure of self-reported current health related quality of life
119 that has been designed to complement other quality of life and disease specific measures
120 [20]. It measures five dimensions of mobility, self-care, usual activities, pain/discomfort,
121 and anxiety/depression, on three level scales (no symptoms or problems, moderate
122 symptoms or some problems, and extreme symptoms or total inability), scored zero, one or
123 two respectively. The descriptive data is used to generate a weighted health index, based
124 on tables of values derived from general population samples. The EuroQol also provides a
125 patient rated health status on a visual analogue scale from 0, worst imaginable health state,
126 to 100, best imaginable health state.

127 Further outcome measures included; daily patient reported knee pain during the first post-
128 operative week, measured on a visual analogue scale, from 0 (no pain) to 10 (worst
129 possible pain); use of analgesia during the first post-operative week, from prescription
130 charts; complications as recorded in medical records and as reported by patients at their
131 research follow-up appointments; length of stay in hospital (days); surgeons ease of
132 exposure measured with a Visual Analogue Score (VAS) ranging from 0 (easy) to 10
133 (extremely difficult), and proportion of patients who had a lateral release.

134 *Sample size*

135 In a group of 86 patients undergoing total knee arthroplasty the mean one-year post-
136 operative Knee Society Score was 172.2 with a standard deviation of 20 and a range of
137 98-200 [21]. To detect a 5% increase in the post-operative Knee Society Score in the sub-
138 vastus group compared to the medial parapatellar group with a two-sided significance level
139 of 5% and a power of 90% a sample size of 105 patients was required in each group. An
140 additional 10% were recruited to each group to allow for any patients lost to follow-up,
141 giving a total sample size of 231.

142 *Statistical Methods*

143 Time was measured from baseline assessment of the outcomes which were usually made
144 on the day before or day of surgery. Outcome variables were measured as differences
145 (follow-up – baseline) at each time point, giving 4 differences (longitudinal repeated
146 measures) for each patient. For example, the Womac outcome measures at weeks 1, 6, 12
147 and 52, were computed as:

148 $d1 = \text{Womac01} - \text{Womac0 (baseline)}$

149 $d6 = \text{Womac06} - \text{Womac0 (baseline)}$

150 $d12 = \text{Womac12} - \text{Womac0 (baseline)}$

151 $d52 = \text{Womac52} - \text{Womac0 (baseline)}$

152 An advantage of this method is that plots quickly reveal the underlying trends (between
153 groups) with time. The plot will be flat if there is no change with time, while in/decreasing
154 trends will reveal effects positive or negative depending on the definition of the original
155 scores. **The outcome variables in the two groups were compared using t-tests, non-
156 parametric methods and the multiple logistic function using the SPSS software**

157 package. The latter technique takes the correlation between the repeated measures
158 into account by regarding the analysis as a comparison between the two treatment
159 groups using the four repeated outcome measurements (d1, d6, d13 and d52). More
160 detailed adjusted analyses were also conducted using a linear mixed model approach
161 [30] which took into account: (a) previously selected baseline factors, (b) the trend
162 over time (c) the correlation between the repeated measures, and (d) random error.
163 These latter analyses were carried out using the S-Plus software package. Non-
164 parametric trend lines were computed to show the average evolution in the
165 difference from baseline in each treatment group

166

167 The primary analysis was by intention to treat, and involved all patients who were
168 randomly assigned. The trial protocol has been described in more detail elsewhere [22].

169

170 **Results**

171 **(a) Data Acquisition**

172 Patient recruitment and follow-up is summarised in a flow-diagram (Fig 1). Two hundred
173 and thirty-one patients were enrolled in the study, 116 were randomized to the sub-vastus
174 (SV) approach, and 115 were randomized to the medial parapatellar (MP) approach.
175 Twenty-three eligible patients declined to participate.

176 In four (3.5%) patients allocated the SV approach, the MP approach was performed. In
177 three patients, a SV approach was attempted but the operation was completed through an
178 MP approach; in one patient the surgeon stated the shape of the leg was a problem; in a
179 second patient the approach was deemed too difficult; and in a third the leg was too bulky.
180 In one patient, the surgeon carried out an MP instead of SV approach by mistake.

181 In the MP group 17/575 (3.0%) planned assessments (one at one, six at six, six at 12, and
182 four at one year) were missed in 14 patients; in two instances because a patient elected not
183 to have two interim assessments, in three because of death, in two illness, and in 10 for
184 unknown reasons. In the SV Group 20/580 (3.1%) planned assessments (four at one, six
185 at six, seven at 12, and three at one year) were missed in 12 patients; in seven instances
186 because of death, in two illness, in two early hospital discharge, in one a long patient
187 holiday, in one relocation, and seven for unknown reasons. In addition, 50 assessments
188 were missing for the Knee Society Score, five at baseline, 36 at one week, four at six
189 weeks, three at 12 weeks and two at one year, the great majority because of research
190 physiotherapist unavailability. In one instance, a Knee Society Score was taken at one
191 week, but other assessments were not taken because of patient illness.

192 **(b) Baseline characteristics**

193 A randomization check showed no significant difference between age and sex of the two
194 groups (Table 1). Two-hundred and ten patients were retired, 17 **were** employed, three
195 **were** house-wives, and one was seeking employment. Three (2.6%) of the MP group, and
196 six (5.2%) of the SV group had rheumatoid arthritis, the remainder had osteoarthritis.

197 (c) Operative and Post-Operative Clinical Characteristics

198 Most patients had general anaesthesia, **and** 17/115 (14.8%) MP and 19/116 (16.4%) SV
199 patients **had epidural anaesthesia**. The patella was replaced in 7/115 of the MP group
200 (6.1%), and 10/116 (8.6%) of the SV group. Ease of exposure was recorded in 228/231
201 (98.7%) patients, with MP group mean 25.4 (SD 24.2) and SV group mean 32.8 (SD
202 26.4), a statistically significant difference of 7.4 (95% CI, 0.8 to 14.0, $p = 0.028$), favouring
203 the MP group. A lateral release was performed in 14/115 (12.2%) of the MP group, and
204 in 6/116 (5.2%) of the SV group. The difference between these proportions, 7%, is not
205 statistically significant (95% CI: -0.5% to 14.5%, **$p=0.06$**).

206 There were no differences between groups in the pain or analgesic diaries, in either the
207 total scores over seven days, or on an individual day basis. The median length of stay was
208 eight days, with no difference between groups.

209 (d) Longitudinal Outcomes

210 Table 2 shows a cross-sectional summary of all mean outcome scores by group and time as
211 an aid to interpretation of the formal longitudinal analyses. Table 3 shows a summary of
212 the longitudinal outcomes, i.e. the between groups difference from baseline for each time
213 period.

214 *(d.i) Knee Society Clinical Rating System*

215 There was a great improvement in pain with time in both groups, and a small improvement
216 in range of motion which was lower than baseline until between the six and 12 week
217 follow-up (Table 2). The functional score is lower than baseline at the one and six-week
218 follow-ups. The total score, functional score and knee score all show considerable benefit
219 of surgery at the one year follow-up in both groups.

220 At the one week follow-up, the SV group had a statistically significantly greater mean
221 improvement in pain (5.7, Standard Error {SE} 2.74) and knee score (6.8, SE 3.33)
222 compared to baseline, and less deterioration in range of motion (7.4, SE 3.59), and total
223 Knee Society Score (9.9, SE 4.44). At six weeks the MP group had a non-statistically
224 significant greater mean improvement in pain (4.8, SE 2.45) and knee score (5.5, SE 2.94).
225 There were no significant differences at other time points. These results support a modest,
226 but clinically significant benefit for the SV group at one week post-operatively, with no
227 significant difference at other time points. A relative weakpoint of this measure compared
228 to others, was 45 missing observations at the one week follow-up as the research
229 physiotherapist was usually unavailable at one participating hospital during the early trial
230 period. Further statistical analysis of this measure is presented in section (e).

231

232 *(d.ii) WOMAC*

233 In both groups the global, function and pain scores showed major improvement with time
234 and at the one year follow-up outcome scores were 15 to 30% of their baseline values. The
235 largest absolute improvement in scores occurred within a week of surgery (Table 2).

236 There were no statistically significant differences between groups at the one, six or 12
237 week follow-ups, although the improvement in pain in the SV group at one week tended to

238 be better than the MP group (-1.2, SE 0.6). At one year, the SV group had significantly
239 greater improvement in pain (-1.6, SE 0.6), the SV group starting with an average pain
240 score 0.7 units higher than the MP group and ending 0.8 units lower (tables 2 and 3). The
241 SV group also had a significantly lower global score (-5.2, SE 2.4) at one year, attributable
242 to greater improvements in both pain and function (-3.2, SE 1.9). Further statistical
243 analysis of this measure is presented in section (e).

244

245 *(d.iii) SF 36*

246 In both groups physical function and social function dipped at one week, then improved to
247 one year. Role-physical, and bodily pain showed continued improvement from one week
248 to one year. There was little change in other measures.

249 At one year, the SV group showed statistically significantly greater improvements in
250 physical function (7.8, SE 3.1), and role-physical (13.7, SE 5.9), and tendency for greater
251 improvement in pain (6.3, SE 4.1). There were no significant differences in other
252 outcomes, or at other time points. The benefit in physical function for the SV group at one
253 year was corroborated by non-parametric testing but not by the multiple logistic function.

254 *(d.iv) EuroQol*

255 In both groups, there were improvements in pain, utility score and health status with time.

256 At one week, the SV group improved statistically significantly more in the utility score
257 (0.093, SE 0.04), and tended to have improved more in the pain score (-0.18, SE 0.09).

258 At six and 12 weeks there were no significant differences. At one year, the SV group had
259 improved significantly more as measured by the utility (0.091, SE 0.04), and pain scores (-
260 0.19, SE 0.09).

261

262

263

264 (e) Longitudinal Statistical Analysis

265 Figures 1 and 2 show for the Knee Society Score and the global WOMAC score,
266 respectively, the longitudinal trends based on the four differences from baseline outcome
267 measurements for each group. In both figures, the large amount of variation at each
268 examination, which is not unusual, should be noted. The numbers in each group are
269 approximately the same but, inevitably, the graphs show overprinting of symbols. The
270 Knee Society results (Figure 1) show no average difference between groups over time,
271 while the global WOMAC results show a small, persistent, benefit in favour of the SV
272 group.

273 The repeated measures data presented in Figures 1 and 2 were analysed by fitting a series
274 of models which took selected baseline covariates (age, gender, smoking status, baseline
275 KSS and baseline WOMAC), the time trend, the treatment by time interaction and the
276 correlation between repeated measures on the same subject into account.

277 In the case of the Knee Society data presented in Figure 1, in none of the models fitted was
278 the treatment effect or the treatment by time interaction significant. In this analysis, we
279 conclude that there is no significant difference in Knee Society Scores between the
280 treatment groups studied.

281 In relation to the WOMAC score, the results are different. The model (A) fitting the
282 treatment indicator alone is not statistically significant (treatment regression coefficient
283 $b_1=-2.59$, SE 2.18). A similar finding emerges when model (B) containing the treatment

284 indicator and the (linear) time trend is fitted ($b_1=-2.65$, **SE 2.19**). However, when the
285 baseline WOMAC score is added (model C), the treatment effect becomes significant ($b_1=-$
286 2.4 , **SE 1.17**), and, when the treatment by time interaction is added (model D), it too is
287 significant ($b_1=-2.44$, $se=1.19$; interaction regression coefficient, $b_2 =-1.53$. **SE 0.67**).
288 Thus, when allowance is made for the baseline WOMAC score and time in the statistical
289 model, a statistically significant difference emerges between the two groups. The SV
290 procedure confers a greater reduction from baseline by approximately 2.5 WOMAC units
291 of difference on average, and this benefit increases a little (but significantly) with time.
292 These finding are broadly consistent with Figure 2 and Table 3. We noticed that the pain
293 component of the Womac pain score differed significantly at one year between the groups.
294 Pain was less in the SV group, the mean change from baseline to the 52 week examination
295 was -10.61 ($se=0.41$) Womac pain units and in the MP group it was -8.99 (**SE 0.37**)
296 units ($t = 2.96$, $p=0.003$).

297

298 (f) Adverse Events

299 Overall, the number and type of adverse events recorded was similar between groups.

300 Six deaths were recorded within one year of surgery. One SV patient died the day after
301 surgery from malignant hypertension during her general anaesthetic followed by an intra-
302 cerebral haemorrhage a few hours later. Other deaths within one year of surgery appeared
303 coincidental, with two SV deaths from cardiac failure, and three in the MP group from
304 **cancer**, stroke, and an unknown cause.

305 Peri-operative adverse events were recorded in three MP patients, namely excessive
306 bleeding, a dysplastic knee led to difficulties sizing a prosthesis, and an anterior femoral cut
307 damaged the lateral aspect of the femur.

308 In the SV group there was one joint infection, two deep incisional infections, three
309 superficial incisional infections (one of whom was allocated the SV approach but received
310 an MP approach), and two patients consulted their general medical practitioner with
311 wound problems but were not referred to a surgeon. In the MP group there were three
312 joint infections (one of whom had a revision joint arthroplasty), three superficial incisional
313 infections, and seven patients consulted their general medical practitioner with wound
314 problems.

315 One SV patient had a partially retained wound drain, left in situ. Two SV and three MP
316 patients underwent knee manipulation for stiffness. One MP patient had a patellar
317 dislocation at six weeks, managed with manipulation and cast immobilisation. One MP
318 patient at one year had had a positive bone scan for persisting pain, and was awaiting
319 radiologist review.

320 There was one pulmonary embolus in the SV group, and three in the MP group. There
321 was one confirmed and one possible but unconfirmed deep venous thrombosis, both in the
322 SV group. Two SV and one MP patients had a urinary tract infection at one week. Two
323 SV and one MP patients developed a chest infection at 1 week postoperatively. One MP
324 patient had a transient ischaemic attack at six weeks, and another atrial fibrillation.

325

326 **Discussion**

327 A novel finding of this study was that the group of patients who had their total knee
328 arthroplasties' through a sub-vastus approach had, one year after their operations,
329 significantly less pain measured by the WOMAC and EuroQol questionnaires, and
330 significantly better global WOMAC score, EuroQoL utility score, and physical function
331 and role-physical scores measured by the SF36 questionnaire. Sub-vastus patients also had
332 significantly better scores one week post-operatively on the Knee Society overall, knee and
333 pain scores, and range of motion. On average, surgeons found that the medial parapatellar
334 approach gave a greater ease of exposure. Although other randomised studies comparing
335 the two approaches have been reported, we believe that this investigation is the first to
336 report follow-up at one year, and that the number of patients we recruited exceeds the
337 combined total in the previous studies that we are aware of [3-6].

338 Care was taken to reduce bias by using minimization, by excluding participating surgeons
339 from the collection of baseline and follow-up data and data analysis, and by blinding
340 treatment allocation for both patients and the physiotherapists who took clinical
341 measurements. The groups were similar at baseline, follow-up completion was good apart
342 from Knee Society Score at one week post-operatively, the analysis was based on intention
343 to treat, and the participants themselves assessed their outcomes with the use of
344 standardized questionnaire instruments up to one year post-operatively.

345 The trial is relatively large for an orthopaedic trial. However, the estimated differences are
346 statistically imprecise, and this is an important limitation. There were wide confidence
347 intervals, indicating that the true differences could have been larger or smaller than those
348 reported.

349 The longitudinal modelling approach avoids many of the issues of multiple testing and
350 gives an overall synthesis of the trial results. Although such analyses are available for all of
351 the outcome variables studied, in the interests of space, the discussion is limited to the
352 Knee Society Score and global WOMAC the variables in which there was particular prior
353 interest among the research group. In relation to the Knee Society Score, the main
354 outcome variable in this study, these analyses conducted suggest that there is no
355 difference between the two treatment groups, and the position is neatly summarized in
356 Figure 1 which supports the formal statistical analysis. On the other hand, the findings in
357 relation to the global WOMAC score show that the SV approach confers some benefit. In
358 the SV group, Womac scores were statistically significantly lower (on average) by 2.5
359 units of change. This finding only emerged after adjusting for baseline WOMAC score,
360 time, and the treatment by time interaction. The inclusion of the baseline WOMAC score in
361 the statistical model is key to the adjustment process, as this had the effect of
362 approximately halving the standard error of the treatment effect. Naturally, the evidence
363 would have been more persuasive had the benefit been demonstrable without the necessity
364 for an adjusted analysis. However, such adjustments are routine in the modern analysis of
365 longitudinal trials, and overall the evidence suggests a modest benefit (as indicated in
366 Figure 2).

367 An issue, at least to some extent, in all randomized controlled trials is their generalizability,
368 as patients who are recruited differ from those who are not recruited, and it is then an issue
369 of judgement of whether participants are sufficiently similar to patients treated by another
370 clinician for the results to be generalized to that practice. Most patients at our hospital
371 who were to receive a primary total knee arthroplasty were eligible for the trial, and only a

372 small proportion of eligible patients declined to participate. All surgeons were experienced
373 in total knee arthroplasty, although they had all employed the medial parapatellar approach
374 as standard prior to the trial. They therefore received additional training, supervised
375 practice including video-analysis and feedback of their sub-vastus technique, prior to
376 starting to recruit patients for the trial. The hospital standard knee arthroplasty was used
377 throughout the study. Formal statistical analysis of the outcome of total knee arthroplasty
378 using either approach per each surgeon showed no difference, confirming that all surgeons
379 were able to obtain an equally acceptable clinical outcome whichever approach they were
380 required to use by the randomization process.

381 The major criticisms of the sub-vastus approach have been poor and unpredictable
382 exposure, and difficulty with eversion of the patella [23,24], and our study did indicate that
383 our surgeons found the ease of exposure less favourable with the sub-vastus approach.

384 An early post-operative advantage of the sub-vastus approach observed in this study in the
385 Knee Society Score measures, has also been reported in several small trials using a variety
386 of outcome measures [3-6]. This has been explained by less disruption of the extensor
387 mechanism allowing better early rehabilitation [3-6]. The benefit of 7⁰ greater range of
388 motion for the SV group at one week post-operatively in this study, compares to a 23⁰
389 benefit for an SV group reported in one trial [5], no difference between approaches in
390 patients undergoing bilateral knee arthroplasty [4], and earlier bending to 90⁰ reported in
391 another trial [6]. An early pain benefit reported in the KSS pain item one week post-
392 operatively is consistent with improved early pain reported elsewhere [6], but we did not
393 observe different use of analgesics [3,5]. Roysam [5], reported 17 days in hospital for the
394 sub-vastus group compared to 21 days for the medial parapatellar group, whereas in our

395 study we found no difference, with the length of stay of about a week being standard
396 hospital practice in our participating hospitals and more determined by policy than
397 difference in rehabilitation.

398 The medial parapatellar approach does give excellent exposure of the knee joint in total
399 knee arthroplasty, and is used in most operations [7]. Problems with this approach,
400 however, particularly patellar complications, have been reported in up to 50% of patients
401 [12,25-29], and may be one reason why a significant minority of patients do not appear to
402 derive substantial benefit from their total knee arthroplasties. These problems motivated
403 surgeons to search for other approaches, and Hofman [10] advocated the sub-vastus
404 approach to avoid patellar complications through preservation of the extensor mechanism
405 and less damage to the patella blood supply.

406 At a practical level, surgeons involved in this study, who previously did not use the sub-
407 vastus approach, have introduced it as a frequently used option. Our study provides some
408 evidence that, one year after a knee arthroplasty, patients who received a sub-vastus
409 approach do better than those with a medial parapatellar approach. In this study, both the
410 one week and one year outcome were better in the sub-vastus group on some measures
411 compared to the medial parapatellar group. Longer term follow-up of patients in this
412 study would allow identification of possible effects beyond the one year follow-up, and
413 new trials in different settings with different surgeons and prostheses would be useful to
414 see if our results are replicable in other settings.

415

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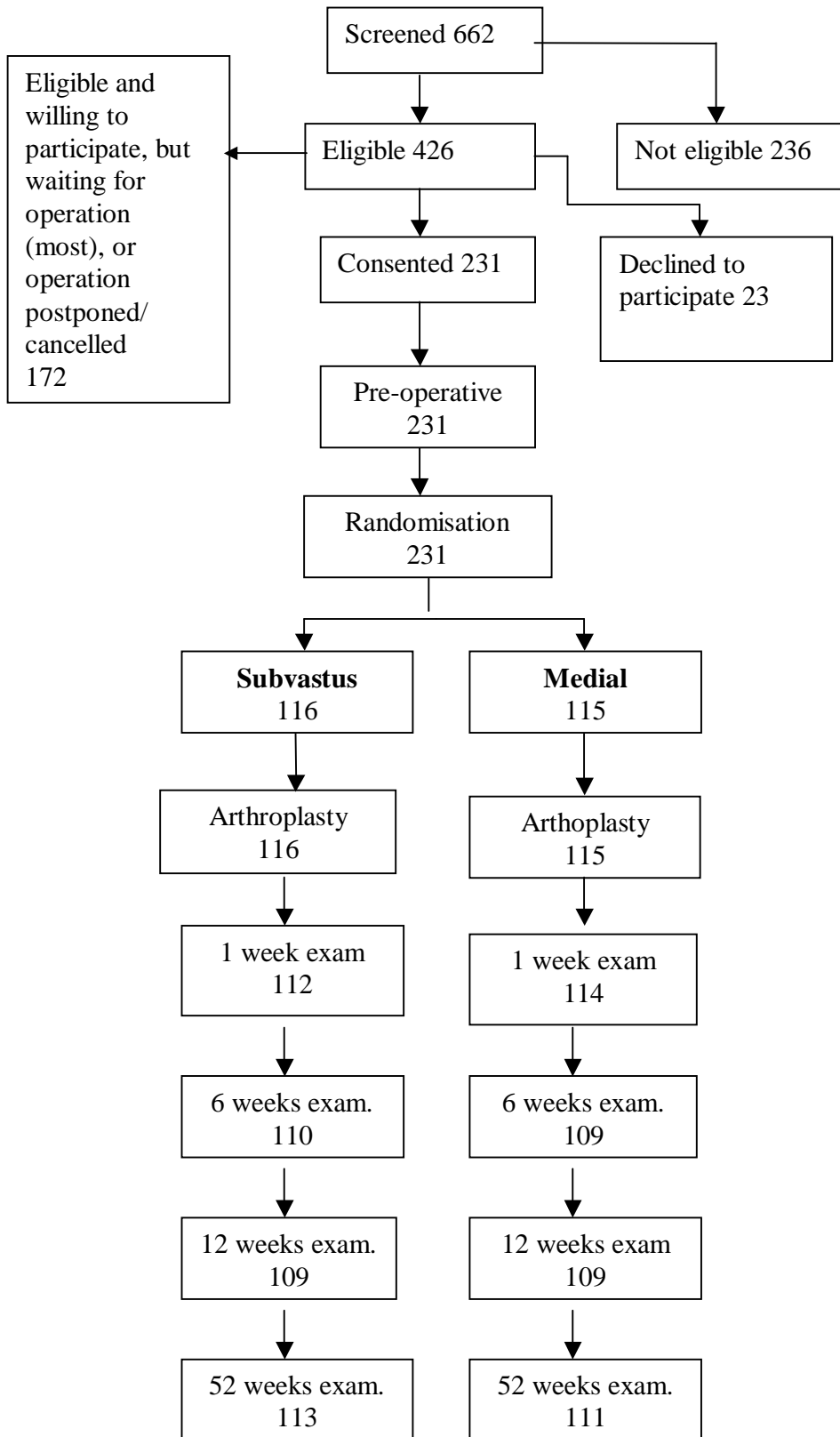
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495 **Fig. 1 Trial flowchart**



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Table 1. Comparison of Factors at Baseline

Factors	Sub-vastus		Medial Parapatellar	
	n	%	n	%
Categorical				
Sex				
Male	60	(51.7)	59	(51.3)
Female	56	(48.3)	56	(48.7)
Total	116	(100)	115	(100)
Continuous	Mean	SD	Mean	SD
Age	70.1	(8.0)	70.9	(8.1)
NB: Comparison: Baseline check by multiple logistic function using 2 factors simultaneously - no evidence of imbalance.				

501 Table 2a. Mean Values (SD) and numbers of patients for Longitudinal Outcomes for Medial ParaPatellar Group

Time	B	B	1	1	6	6	12	12	52	52
Variable	N	Mean (SD)	N	Mean (SD)	N	Mean (SD)	N	Mean (SD)	N	Mean (SD)
Knee Society										
Total Score (0-200)	111	80.1 (23.1)	96	62.7 (25.3)	107	98.5 (28.9)	107	111.1(34.2)	107	125.8(31.1)
Function Score (0-100)	111	38.8 (16.6)	98	18.4 (12.6)	107	31.4 (18.7)	107	42.1(22.0)	108	50.6 (21.0)
Knee Score (0-100)	111	41.3 (13.9)	96	44.5 (18.1)	107	67.0 (18.4)	107	69.0 (19.8)	107	75.2 (17.6)
Range of Motion (°)	111	89.3 (21.9)	98	57.2 (17.4)	107	81.8 (17.2)	107	86.3 (19.6)	108	94.9 (15.5)
Pain (0- 50)	111	9.6 (10.2)	96	19.2 (15.6)	107	36.2 (15.0)	107	36.4 (16.5)	108	39.4 (15.7)
WOMAC										
Global (0-96)	115	44.5 (16.2)	112	20.3 (14.7)	108	11.3 (9.9)	107	11.9 (12.8)	111	11.5 (14.5)
Function (0-64)	115	28.5 (13.3)	112	10.2 (11.8)	108	5.7 (6.9)	109	7.2 (10.2)	111	7.3 (10.1)
Pain (0-20)	115	11.6 (3.3)	112	6.3 (3.9)	108	3.4 (3.5)	107	2.5 (3.1)	111	2.5 (3.9)
Stiffness (0- 8)	115	4.4 (2.2)	112	3.9 (2.0)	108	2.4 (1.8)	109	2.2 (1.8)	111	1.7 (1.9)
SF 36										
Physical Function	115	20.6 (20.0)	113	10.0 (14.7)	108	37.8 (23.4)	109	53.0 (26.2)	111	59.3 (25.6)
Role - Physical	115	4.2 (17.2)	112	29.2 (36.1)	108	53.0 (44.4)	109	61.2 (44.8)	111	67.1 (43.6)
Bodily Pain	115	37.8 (24.3)	112	52.0 (28.9)	108	75.2 (27.5)	109	74.8 (28.0)	111	78.7 (28.2)
Health Perception	115	73.5 (20.0)	113	77.1 (19.2)	108	81.2 (14.8)	109	79.4 (19.2)	111	74.2 (23.6)
Energy	115	44.1 (17.7)	112	42.8 (17.9)	108	49.4 (15.8)	109	54.4 (15.6)	111	52.4 (15.9)
Social Function	115	74.8 (38.4)	112	25.0 (34.2)	108	68.2 (41.2)	109	84.7 (31.1)	111	91.7 (20.7)
Role - Mental	115	94.2 (23.1)	112	89.0 (31.1)	108	96.3 (19.0)	109	92.0 (26.8)	111	98.2 (13.4)
Mental Health	115	77.3 (15.0)	112	79.0 (14.9)	108	79.0 (15.7)	109	76.8 (14.5)	111	75.9 (14.5)
EuroQol										
Health status (0-100)	115	73.4 (15.9)	113	72.0 (17.5)	108	79.8 (13.5)	109	79.1 (16.2)	111	79.6 (17.1)
Utility score (0- 1)	115	0.29 (0.27)	113	0.35 (0.19)	107	0.58 (0.24)	109	0.73 (0.29)	111	0.80 (0.26)
Pain (0- 2)	115	1.48 (0.52)	113	1.06 (0.50)	107	0.61 (0.54)	109	0.55 (0.60)	111	0.46 (0.57)

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506 Table 2b Mean Values (SD) and numbers of patients for Longitudinal Outcomes for Sub-Vastus Group

Time	B	B	1	1	6	6	12	12	52	52
Variable	N	Mean (SD)	N	Mean (SD)	N	Mean (SD)	N	Mean (SD)	N	Mean (SD)
Knee Society										
Total Score (0-200)	115	80.2 (25.3)	91	71.0 (26.0)	108	95.6 (27.7)	108	111.0(31.5)	111	129.1(31.0)
Function Score (0-100)	115	37.4 (16.4)	91	19.7 (13.1)	108	32.7 (17.3)	108	42.8 (21.1)	111	52.3 (24.5)
Knee Score (0-100)	115	42.8 (16.3)	91	51.3 (20.4)	108	62.8 (20.4)	108	68.1(18.9)	111	76.8 (15.8)
Range of Motion (°)	115	87.0 (23.5)	91	61.2 (15.3)	108	80.8 (14.1)	108	87.1(17.7)	112	96.1 (14.9)
Pain (0- 50)	115	10.8 (11.5)	92	25.4 (17.9)	108	32.0 (17.8)	108	35.6(16.1)	111	41.1 (15.0)
WOMAC										
Global (0-96)	116	44.7 (17.5)	112	18.3 (13.9)	110	12.2 (12.2)	108	9.0 (9.3)	111	6.4 (9.7)
Function (0-64)	116	28.1 (13.7)	112	8.9 (11.4)	110	6.2 (8.9)	109	4.7 (7.0)	112	3.7 (6.7)
Pain (0-20)	116	12.3 (3.6)	112	6.0 (3.8)	110	3.8 (4.2)	108	2.4 (3.1)	111	1.7 (3.0)
Stiffness (0- 8)	116	4.4 (2.8)	112	3.3 (1.9)	110	2.2 (1.7)	109	2.0 (1.7)	113	1.1 (1.4)
SF 36										
Physical Function	116	20.8 (17.8)	112	11.7 (13.0)	110	39.6 (22.2)	110	56.5 (27.2)	113	67.3 (22.3)
Role - Physical	116	6.7 (23.6)	112	26.3 (29.6)	110	55.0 (44.4)	110	68.0 (42.3)	113	83.4 (36.5)
Bodily Pain	116	39.3 (23.1)	112	54.9 (27.8)	110	75.1 (27.2)	110	78.9 (27.0)	113	86.2 (24.2)
Health Perception	116	74.3 (21.8)	112	73.8 (20.7)	110	78.4 (19.4)	110	79.1 (18.6)	113	74.6 (21.4)
Energy	116	45.3 (17.6)	112	44.6 (17.6)	110	50.3 (18.3)	110	52.9 (18.5)	113	55.7 (15.7)
Social Function	116	73.6 (40.8)	112	35.7 (42.8)	110	67.1 (40.4)	110	87.8 (28.0)	113	94.2 (19.1)
Role - Mental	116	95.7 (20.4)	112	92.9 (25.1)	110	96.4 (18.8)	110	98.8 (10.0)	113	98.5 (11.3)
Mental Health	116	77.8 (14.4)	112	82.4 (11.8)	110	77.4 (14.8)	110	79.6 (12.8)	113	78.0 (12.3)
EuroQol										
Health status (0-100)	116	72.7 (16.6)	112	72.4 (16.9)	110	78.4 (16.8)	110	81.8 (13.4)	113	81.4 (16.3)
Utility score (0- 1)	116	0.27 (0.27)	112	0.42 (0.19)	110	0.61 (0.26)	110	0.77 (0.24)	113	0.87 (0.21)
Pain (0- 2)	116	1.52 (0.52)	112	0.93 (0.80)	110	0.72 (0.54)	110	0.54 (0.52)	113	0.31 (0.48)

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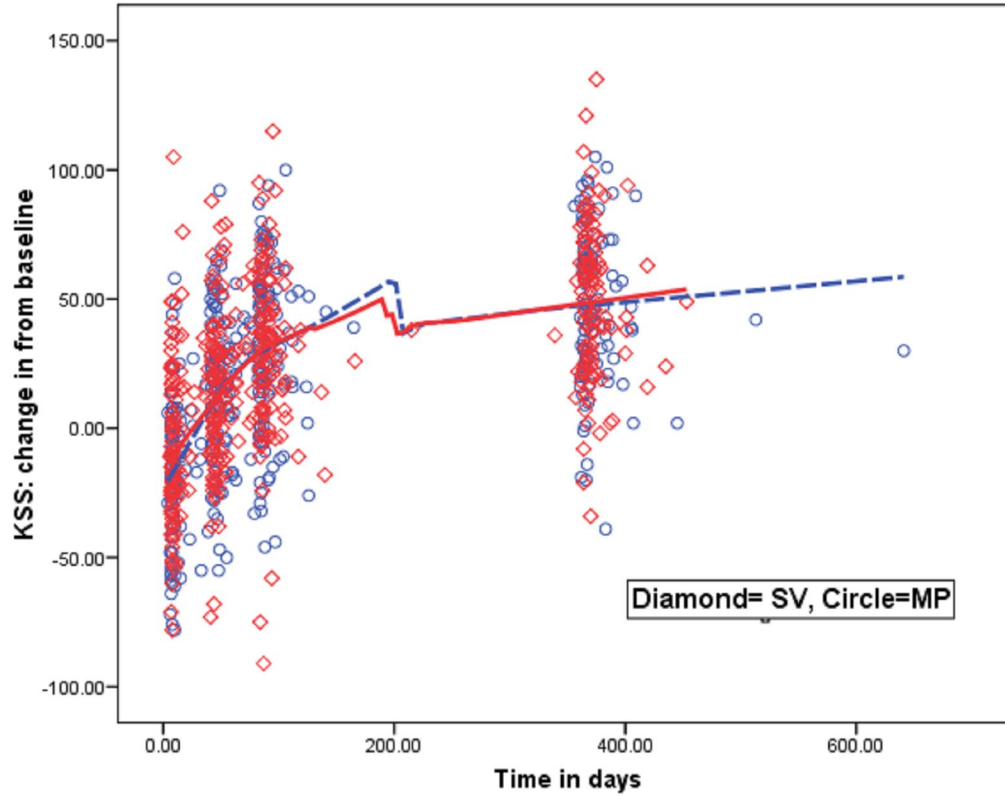
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509 Table 3. Differences in group averages (Sub-vastus group – Medial Parapatellar group) of change from baseline (follow-up – baseline), (95% CI,
 510 and p values from independent t test). Positive differences favour the sub-vastus group, except for all WOMAC and the EuroQol pain scores
 511 where negative differences favour the sub-vastus group.
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Variable	One Week				6 Weeks				12 Weeks				52wks			
	Difference	95%CI		p	Differenc	95%CI	p		Difference	95%CI	p		Differenc	95%CI	p	
<i>Knee Society Score (KSS)</i>																
Total Score	9.87	1.12	18.63	0.027	-2.84	- 4.80	10.50	0.46	-1.15	-9.72	7.42	0.79	1.92	-5.90	9.75	0.63
Function Sco'	3.30	- 1.43	8.03	0.17	2.64	- 7.24	1.96	0.26	1.17	-3.43	5.77	0.62	1.30	-4.10	6.70	0.64
Knee Score	6.78	0.20	13.36	0.043	- 5.49	-11.29	0.32	0.064	-2.32	-8.51	3.87	0.46	0.65	-4.63	5.93	0.81
ROM (°)	7.44	0.37	14.53	0.039	-0.22	- 6.71	6.26	0.95	3.79	-3.36	10.95	0.30	4.87	-1.11	10.85	0.11
Pain	5.70	0.31	11.10	0.038	-4.75	- 9.59	0.08	0.054	-1.94	-6.82	2.94	0.43	0.68	-5.07	3.72	0.76
<i>WOMAC</i>																
Global (0-96)	-2.21	2.96	-7.39	0.40	0.37	5.09	-4.35	0.88	-3.20	1.72	-8.12	0.20	-5.20	-0.49	-9.90	0.031
Function' (0-64)	-0.52	3.67	-4.71	0.81	0.99	4.71	-2.72	0.60	-2.54	1.46	-6.54	0.21	-3.15	0.55	-6.84	0.095
Pain (0-20)	-1.17	0.08	-2.42	0.066	-0.57	0.70	-1.84	0.38	-0.93	0.31	-2.16	0.14	-1.62	-2.70	-0.55	0.003
Stiffness (0-8)	-0.53	0.24	-1.30	0.18	-0.05	0.68	-0.79	0.89	-0.24	0.49	-0.97	0.52	-0.56	0.16	-1.29	0.13
<i>SF 36</i>																
Physical Func'	1.65	- 3.94	7.23	0.56	1.97	- 4.39	8.33	0.54	3.43	-3.67	10.53	0.34	7.81	1.64	13.97	0.013
Role-Physical	- 5.58	-15.36	4.19	0.26	-0.66	-14.19	12.88	0.92	3.11	-9.40	15.63	0.62	13.71	2.13	25.29	0.020
Bodily Pain	1.69	- 6.91	10.29	0.70	-1.42	-10.12	7.26	0.75	2.84	-6.18	11.87	0.54	6.30	-1.71	14.31	0.12
Health Percep'	- 3.66	- 7.98	0.65	0.10	-2.12	- 6.86	2.61	0.38	-0.75	-5.38	3.89	0.75	-0.37	-5.94	5.19	0.90
Energy	0.71	- 4.78	6.20	0.80	-0.19	- 5.31	4.92	0.94	-1.68	-6.71	3.34	0.51	2.50	-1.99	7.00	0.27
Social Functi'	12.20	- 1.42	25.82	0.79	0.84	-14.10	15.79	0.91	5.06	-7.33	17.44	0.42	5.02	-5.50	15.87	0.36
Role - Mental	2.38	- 5.58	10.34	0.56	-1.56	- 9.56	6.44	0.70	5.17	-2.82	13.15	0.20	-1.25	-8.01	5.50	0.72
Mental Health	3.61	- 0.31	7.52	0.071	-1.30	- 5.88	3.29	0.58	1.85	-2.51	6.22	0.40	1.55	-2.98	6.07	0.50
<i>EuroQol</i>																
Health Status	1.15	- 3.95	6.25	0.66	0.23	-2.54	5.25	0.93	4.21	-2.54	9.22	0.10	3.39	-2.76	8.83	0.22
Utility Score	0.093	0.016	0.171	0.018	0.055	-0.035	0.145	0.23	0.068	-0.024	0.160	0.15	0.091	0.009	0.172	0.029
Pain	-0.18	0.00	-0.36	0.051	0.06	0.25	-0.13	0.53	-0.06	0.14	-0.25	0.58	-0.19	-0.01	-0.36	0.040

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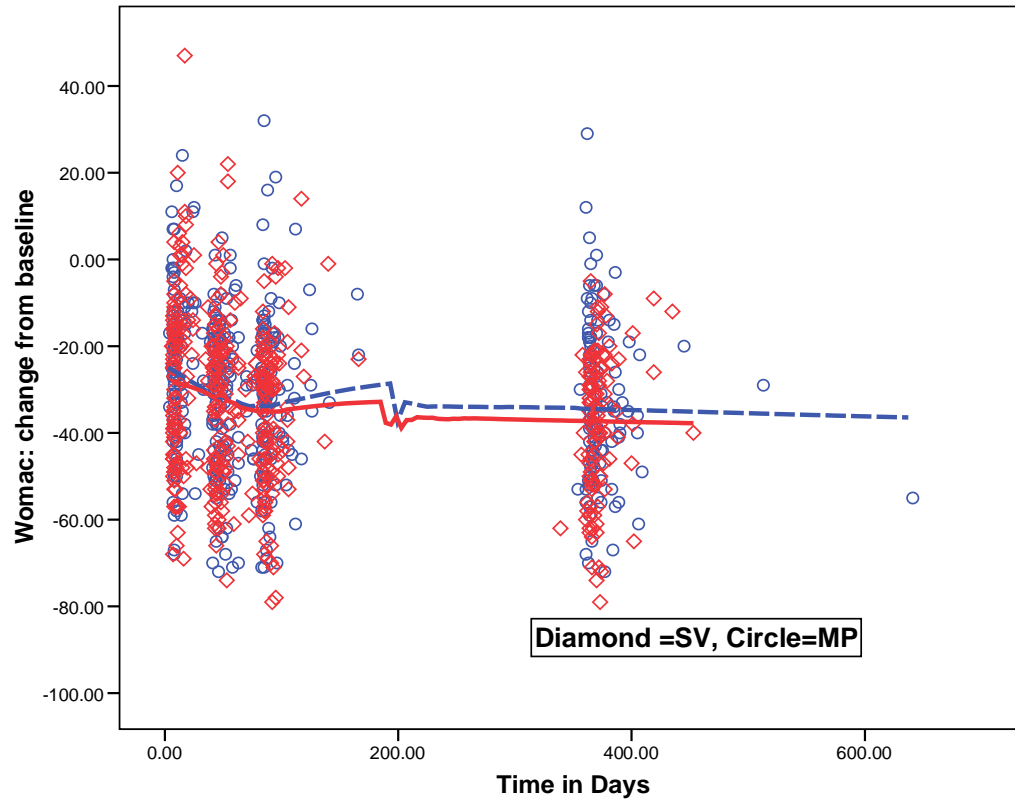
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517 **Figure 1: Total Knee Society Score by Time for each group:**

518 **Diamonds = SV, Circles = MP; trend lines show average**

519 **Evolution (solid line=SV, broken line=MP)**

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522 **Figure 2: Total Womac Score by Time for each group:**
523 **Diamonds = SV, Circles = MP; trend lines show average**
524 **Evolution (solid line=SV, broken line=MP)**