

Linking Model Driven Development and Software Architecture: A Case Study

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Abstract— A basic premise of Model Driven Development (MDD) is to capture all important design information in a set of formal or semi-formal models which are then automatically kept consistent by tools. The concept however is still relatively immature and there is little by way of empirically validated guidelines. In this paper we report on the use of MDD on a significant real-world project over several years. Our research found the MDD approach to be deficient in terms of modelling architectural design rules. Furthermore, the current body of literature does not offer a satisfactory solution as to how architectural design rules should be modelled. As a result developers have to rely on time-consuming and error-prone manual practices to keep a system consistent with its architecture. To realise the full benefits of MDD it is important to find ways of formalizing architectural design rules which then allow automatic enforcement of the architecture on the system model. Without this, architectural enforcement will remain a bottleneck in large MDD projects.

Index Terms—Case study, Model-Driven Development, Software Architecture

1 INTRODUCTION

Model-Driven Development (MDD) [45] is an emerging discipline [4], [44] where the prevalent software-development practices in industry are still immature [17]. The success of MDD in practice is currently an open question [17] and there is a lack of proven real-world usage of MDD in large industrial projects.

A basic premise of MDD is to capture all important design information in a set of formal or semi formal models that are automatically kept consistent by tools. This raises the level of abstraction at which the developers work which can eliminate time-consuming and error-prone manual work in keeping different design artefacts consistent [17]. An important design artefact in any software development project, with the possible exception of very small projects, is the software architecture [6]. An important part of any architecture is the set of architectural design rules. We define architectural design rules as the rules, specified by the architect(s), which need to be followed in the detailed design of the system. The importance of architectural design rules has been further highlighted by recent research on software architecture [20], [21], [24], [26], [50] which has acknowledged that a primary role of the architecture is to capture the architectural design decisions. An important part of these design decisions consists of architectural design rules. In a MDD context the design of the system is captured in models of the system, therefore architectural design rules in a MDD context specify rules that the models of the system have to conform to. There is however no satisfactory solution in the current body of literature on how to model architec-

tural design rules.

Given the above concerns – the lack of real-world rigorous validation of the MDD approach, and the absence of guidelines as to how architectural design rules can be modelled – our research objective here was to investigate the application of MDD in a large project and to assess the extent to which architectural design rules could be smoothly integrated into the process.

This paper is organized as follows. In the next section we review the literature on architectural design rules, and especially research relevant to modelling architectural design rules and MDD. In section three we present the research approach adopted in this study. In section four we present the findings of a case study and finally in section five we discuss our conclusions and implications for theory and practice.

2 MODELLING ARCHITECTURAL DESIGN RULES AND MDD

In order to validate our research objective, we conducted a detailed review of the relevant literature, using the specific approach proposed in [32]

- Keyword searches were done in IEEE explore, ACM Portal, ScienceDirect, SpringerLink, Inspec, Cite-Seer and Google Scholar.
- Having identified relevant articles, we performed backward and forward reference search and backward and forward author search.
- During the search additional relevant keywords were used to refine the search process.

The primary goal of the review was to investigate the role of architectural design rules, and how these rules can be modelled, especially in relation to MDD. We also wanted to find out what had been reported on architec-

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tural practices in large industrial projects and how this related to our case study. Overall, there are very few case studies on model driven development in industrial projects e.g. [3], [48] but to the best of our knowledge there are no case studies that illuminate architectural work practices in such projects. The main conclusions of this literature review were:

- Architectural design rules are important design artefacts for which there is no direct support in MDD.
- There is no satisfactory solution on how to model architectural design rules in the current body of literature.

We discuss these findings in more detail in the subsections below.

2.1 The role of Architectural Design Rules

IEEE has established a set of recommended practices for the architectural description of software-intensive systems [19] which are followed by several architectural design methods:

- Attribute-Driven Design (ADD) [6], [53] developed at the CMU/SEI;
- The Rational Unified Process® (RUP) 4 + 1 views [25], [27] developed and commercialized by Rational Software, now IBM;
- The QASAR method [8], [9], [10] developed by the RISE research group at the University of Karlskrona/Ronneby;
- Siemens' 4 Views (S4V) method [18], [47], developed at Siemens Corporate Research;
- Business Architecture Process and Organization (BAPO/CAFCE) developed primarily at Philips Research [1], [52];
- Architectural Separation of Concerns (ASC) [43] developed at Nokia Research;

The purpose of the architecture is to guide and control the design of the system so that it meets its quality requirements. Bass et al. [6] are unequivocal in stating the importance of an architectural approach:

"The architecture serves as the blueprint for both the system and the project developing it. It defines the work assignments that must be carried out by design and implementation teams and it is the primary carrier of system qualities such as performance, modifiability, and security – none of which can be achieved without a unifying architectural vision. Architecture is an artefact for early analysis to make sure that the design approach will yield an acceptable system. Moreover, architecture holds the key to post-deployment system understanding, maintenance, and mining efforts. In short, architecture is the conceptual glue that holds every phase of the project together for all of its many stakeholders."

A common understanding in architectural methods is that the architecture is represented as a set of components related to each other [42], [46]. The components can be organized into different views focusing on different as-

pects of the system. Different methods propose different views; typical views are a view showing the development structure (e.g. packages and classes), a view showing the runtime structure (processes and objects) and a view showing the resource usage (processors and devices). In any view each component is specified with the following:

- an interface that documents how the component interacts with its environment.
- constraints and rules that have to be fulfilled in the design of the component.
- allocated functionality.
- allocated requirements on quality attributes.

A typical method of decomposition (see for instance [6], [53] and [9]) is to select and combine a number of patterns that address the quality requirements of the system and use them to divide the functionality in the system into a number of elements. Child elements are recursively decomposed in the same way down to a level where no more decomposition is needed, as judged by the architect. The elements are then handed over to the designers who detail them to a level where they can be implemented. For common architectural patterns such as Model-View-Controller, Blackboard or Layers [13] this typically means that you decompose your system into subsystems containing different kinds of classes (such as models, views and controllers). However the instantiation into actual classes is often left to the detailed design, for two main reasons:

1. Functionality will be added later, either because it was missed or because a new version of the system is developed, so more elements will be added later that also have to follow the design patterns decided by the architect.
2. It is not of architectural concern. The concern of the architect is that the design follows the selected architectural patterns, not to do the detailed design.

This means that a substantial part of the architecture consists of design rules on what kinds of elements, with behavioural and structural rules and constraints, there should be in a certain subsystem.

The importance of architectural design rules is also highlighted in current research in software architecture which is focused on the treatment of architectural design decisions as first class entities [20], [21], [24], [26], [50], where architectural design decisions impose rules and constraints on the design together with rationale. However, there is not yet any suggestion on how to formally model these design rules. The current suggestion is to capture them in text and to link them to the resulting design. This may be sufficient for rules stating the existence of elements ("ontocrisis" in [24]) in the design, such as a subsystem or an interface, since the architect can put the actual element (i.e. a certain subsystem) into the system model at the time of the decision. It is however not sufficient for rules on potentially existing elements ("diacrisis" in [24]) such as rules on what kinds of elements, with behavioural and structural rules and constraints, there

should be in a certain subsystem, since the actual elements are not known at the time when the design decision is made. Instead, the rule-based design occurs later in the detailed design phase, and involves other persons, potentially even in a different version of the system.

2.2 MDD and Architectural Design Rules

The basic idea of MDD is to capture all important design information in a set of formal or semi formal models that are automatically kept consistent by tools. The purpose is to raise the level of abstraction at which the developers work and to eliminate time consuming and error prone manual work in keeping different design artefacts consistent [17].

MDD requires that the work products produced and used during development is captured in models to allow automation of non-creative tasks such as transformation of models into code or conformance checks between different design artefacts. There exist several approaches to Model-Driven Development (MDD) such as OMG's MDA [40], Domain Specific Modelling (DSM) [22], [49], and Software Factories [16] from Microsoft.

MDA prescribes that three models, or sets of models, shall be developed as illustrated in Fig. 1:

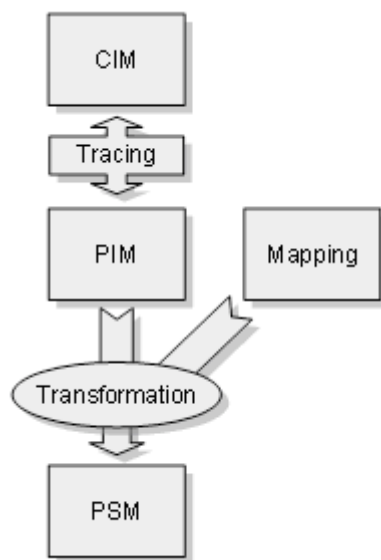


Fig. 1. An overview of MDA

1. The Computational Independent Model(s) (CIM) captures the requirements of the system.
2. The Platform Independent Model(s) (PIM) captures the systems functionality without considering any particular execution platform.
3. The Platform Specific Model(s) (PSM) combines the specifications in the PIM with the details that specify how the system uses a particular type of platform. The PSM is a transformation of the PIM using a mapping either on the type level or at the instance level. A type level mapping maps types of the PIM language to types of the PSM language. An instance level mapping uses marks that represent concepts in the PSM (such as a process or a CORBA object). When a PIM shall be deployed on a certain

platform the marks are applied to the elements of the PIM before the transformation.

MDA does not prescribe any particular language to be used for the models, but UML [41] is proposed as one possibility. There is also an accompanying OMG standard, MOF (Meta-Object Facility), which can be used to describe meta-models for modelling languages. MDA does not directly address architectural design or how to represent the architecture but the architecture has to be captured in the PIM or in the mapping since the CIM captures the requirements and the PSM is generated from the PIM using the mapping.

Another approach to MDD is Domain Specific Modelling (DSM). The basic idea of Domain Specific Modelling (DSM) is that instead of using a general purpose language such as UML to model your system you define and use a language that is specifically well suited to define systems in a narrow domain. In Fig. 2 the basic approach of DSM is illustrated. A Domain Specific Modelling Language (DSML) is defined that captures the main concepts in the domain of an application. This DSML definition is then used as input into a language configurable modelling tool in which the system is modelled in the DSML. Examples of this approach are described in [22] and [49].

The DSML is defined by an abstract syntax, a concrete syntax, semantics, a mapping between the abstract syntax and the concrete syntax and a mapping between the abstract syntax and the semantics. The abstract syntax is typically defined in a model that defines the concepts of the domain, relationships and integrity constraints. For this one can, for instance, use UML and OCL. Since this is a model which in turn defines elements of a language for a model it is called a meta-model [2]. The concrete syntax of the language comprises the visual symbols that represent the concepts in the metamodel. To provide this and the mapping to the abstract syntax one must specify symbols for the model elements in the metamodel. The semantics of the language define the meaning of the concepts in the abstract syntax. Defining semantics and mapping these to the abstract syntax can be done by providing translations of the meta-model into another language with defined semantics, such as a programming language. DSM can be seen as a special case of MDA where a domain specific language is defined for use in the PIM.

Software factories is a MDD approach that incorporates the DSM idea but goes further since it provides a method for building complete customized tool chains for product families using extensible tools. Such a tool chain is called a *software factory*.

Although neither the DSM nor the software factory approach directly address the problem of how to model architectural rules it is interesting in that they allow you to naturally specify rules on the system model in the DSML definition. In fact, that is basically what the DSML definition is - a set of rules that have to be followed when building the system model. These rules are however not the architectural design rules, they are the rules of a domain specific language.

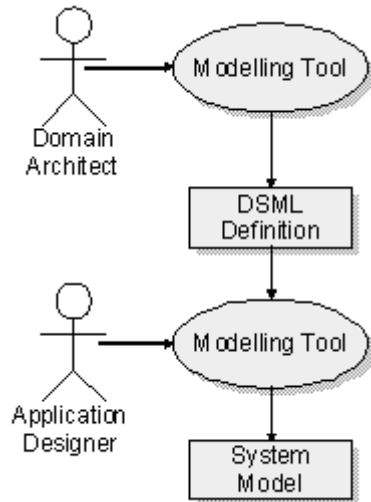


Fig. 2. Domain Specific Modelling

2.3 Modelling Architectural Design Rules

There are a large number of Architectural Description Languages (ADL) [34], [35], [36], including UML, specified for describing the architecture of software systems. These typically allow one to specify components with relations and interfaces together with functional and structural constraints. They do not however provide any means to specify constraints or rules on groups of conceptual components only partly specified by the architect that are intended to be instantiated and detailed by designers. For instance, in the project reported on in this study, the architects needed to specify a set of rules on behaviour and relations on a conceptual component called *arcComponent* without knowing which specific *arcComponents* would be relevant. Rather, they were to be identified and designed by the designers according to the rules stated by the architects.

The problem of modelling design rules is essentially the same problem as modelling the solution part of a design pattern since the solution specifies rules to follow in the design. There are a number of suggestions on how to formally model design pattern specifications [7], [14], [29], [33], [37]. They are however all limited in what kind of rules they can formalize, typically only structural rules. In addition all approaches except [33] require the architect to use mathematical formalisms such as predicate logic and set theory that may be unfamiliar or hard to understand both for architects and developers.

There are also some approaches on how to model the usage of architectural design patterns or architectural styles in a system model such as [38], [55]. However, they only address the problem of how to show that an architectural rule has been followed, not the problem of how to specify the rule.

3 RESEARCH APPROACH

Much of the research on the application of software methods to date has relied on postal surveys to investigate factors using statistical techniques. This is undoubtedly

useful, but it is often beneficial to complement this with 'thick' description which provides a more detailed and nuanced description of the factors at play in a particular context.

This research is based on experience at Combitech AB (www.combitech.se), a Swedish supplier of services within system development, system integration, information security and system safety. Combitech, a wholly-owned subsidiary of Saab AB, can be found in 20 locations in Sweden and the company has more than 800 employees. Customers are primarily from the defence and telecommunications industry, as well as government offices and authorities responsible for infrastructure in society.

Braa and Vidgen [11] propose a useful framework (Fig. 3) integrating positivist, interpretivist and critical research approaches. Briefly summarising, in Fig. 3 the apexes of the triangle represent the different perspectives and outcomes of the research. Thus, from the positivist perspective, a reductionist approach would be followed to produce the desired outcome, which is that of prediction. From the interpretivist perspective, on the other hand, the primary motivation would be that of understanding, while from the critical interventionist perspective, the motivation would be one of change.

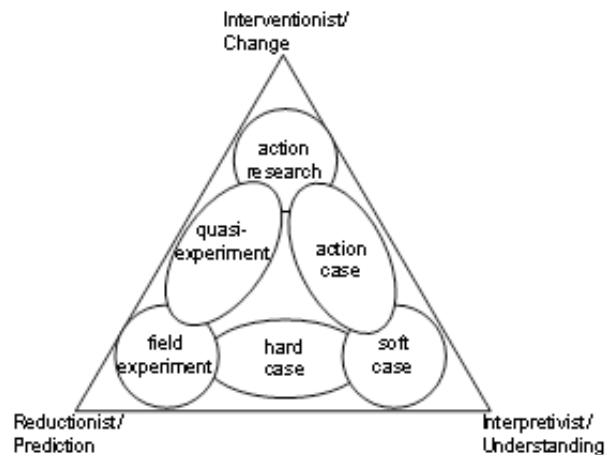


Fig. 3. A framework for Integrating Research Perspectives (based on Braa & Vidgen [12])

Given the lack of research to date on the application of MDD in real industrial projects, and more specifically the modelling of architectural design rules, this study was concerned with achieving an increased understanding of this process. Bearing this in mind, an interpretivist approach which sought to inductively develop a richer understanding based on a deep analysis of a single case was deemed appropriate. Also, as it represents uncharted territory to a large extent, the study was also motivated by an interventionist desire to achieve successful change in this real organizational problem given the lack of any roadmap documenting how this can be successfully achieved.

Given these objectives, a hybrid of the interventionist/change and interpretivist/understanding perspectives was appropriate. Braa and Vidgen locate a number of hybrid research approaches where a mixture of perspec-

tives is motivating the research, and in case of a mixture of interventionist/change and interpretivist/understanding perspectives, as in this study, the Action Case approach is deemed appropriate. As can be seen from Fig. 3, the Action Case approach is a hybrid of the Soft Case and Action Research approaches, each of which are discussed in turn below.

In Soft Case research an interpretivist approach is adopted. The concern is more with gaining understanding and insight [51]. It is our belief that in this area where little exists by way of successful exemplars, then the appropriate approach is an in-depth study which a single case provides, what has been termed the “revelatory case” [54]. A single case strategy is also strongly recommended by Mintzberg who poses the very apt question: “what, for example, is wrong with samples of one?” [39]. One of the limitations of this study might appear to be the fact that it is based on a single case and thus there is limited scope for generalisation. However, Lee and Baskerville [30] identify a fundamental and long-standing problem with the type of generalization based on the type of statistical sampling frequently sought in research, namely the problem of attempting to generalize to any other settings beyond the current one. Following this conventional model, researchers have suggested increasing sample size or number of case study organizations, but Baskerville and Lee argue cogently for the ultimate futility of this flawed strategy.

Action research originates from the work of Lewin [31] and several ‘flavours’ have emerged. At heart, however, there is general agreement on a number of essential characteristics: it is a highly participative approach which implies a close intertwining between researchers and practitioners intervening on real problems in real contexts, with two primary outcomes: an action outcome in terms of a (hopefully) beneficial intervention in the organisation, and a research outcome in terms of a contribution to research on the phenomenon in question. It is also a longitudinal cyclical process of intervention and reflection, with any learning fed back in successive action research cycles e.g. [5], [23], [28].

These characteristics were very much present in this research: The primary author is currently Lead Engineer in Model-Driven Development and Software Architecture at Combitech. He has 18 years of experience from development of embedded real-time systems from a wide range of organizations in the automotive, defence, medical, telecom and automation industries. The last 13 of these years he has alternated between the roles of a software architect and a mentor in software architecture and Model-Driven Development in several projects. The research reported in this paper was motivated by problems in architectural work practices experienced in these projects.

The overall objective of this research was to identify potential improvements to architectural practices in a MDD context based on tensions between MDD practices and architectural practices as revealed in an industrial case where architectural enforcement was an important issue. That is, a case where there were a relatively large number of developers (more than 20) that had to follow an unfamiliar architecture. Questions to be answered by this re-

search were:

- How were the rules documented?
- How were they communicated and enforced?
- How did the actual work practices regarding architectural design rules affect the overall development process?

The research focused on architectural practices in a development project that was executed over a two-year period. The analysis is based on a rich set of system and project documentation, collected from the configuration management tool used by the project, including:

- The architecture design rules document and the system model revealing how the architecture was documented and how the detailed design was done.
- Documents defining the development process of the project.
- Architecture review protocols with comments and actions revealing problems in the interpretation of the architecture and the effort to correct them. There were 120 review protocols with an average of 13 remarks in each protocol.
- Project plans and progress reports showing the planned and actual effort for activities such as architectural reviews and rework. These also contain a number of metrics such as how many modules there were at each defined module status at the time of the progress report. One such metric was how many modules there were waiting for architectural review. The progress reports covered the construction phase with an interval of approximately one week.

Further, the primary author has drawn from experience related to participation in the project being investigated where he had the overall responsibility for work practices and tools. He has also drawn from experiences gained through participation in several other MDD projects, where he has either acted as an architect or as a mentor to the architect(s).

4 INTEGRATING ARCHITECTURAL DESIGN RULES IN A MDD PROJECT

This section describes the research findings, beginning with a description of the research context.

4.1 Action Case Context

At the start of the project Combitech faced the challenging task of developing a software platform for a new generation of digital TV set top boxes for the DVB standard. The development had to be done in 18 months under strict quality requirements and on a completely new, customized hardware platform developed in parallel by another company. At the time of the project, Combitech was a Swedish consultancy company specialising in services for developing embedded real-time systems. Combitech had approximately 250 consultants of whom about 75 were involved in the project. The total effort of the project was

100+ person years expended over a 24 month period, with the first delivery after 18 months and two more deliveries with additional functionality and corrections during the last six months. The project was distributed across five sites in the south of Sweden where the geographical distances between sites ranged between 1.5 – 4 hours one way travel by car. The two architects were stationed in the same site which meant that the other sites had to rely heavily on remote architectural support.

Combitech developed the platform as a phased fixed price (price negotiated for each phase) assignment for a customer company. Combitech had full responsibility for the software development but the customer wanted control of the architecture of the software so the architecture team was actually managed by the customer and not by Combitech, although they were Combitech employees. This meant that there was a need for a counterpart on the Combitech side, making sure that the architecture also was feasible within the budget. This was the project role of the first author of this paper: technically responsible within the Combitech project management team, with prime responsibility to negotiate the architecture with the architecture team on the customer side.

4.2 Project Challenges

The project faced a number of challenges:

- **Short time to market:** since Combitech were in competition with other developers the product had to be ready at a fixed date.
- Since the hardware was to be developed in parallel with the software there would be little time to integrate the two, leading to a significant risk of errors and misunderstandings that would have to be handled by very late changes in the software. Therefore Combitech needed to test the software on a range of platforms, from host PC to real target hardware with several intermediate hardware platforms.
- The requirements were a moving target where the initial requirement specifications would be overridden by acceptance tests delivered late in the project.
- The maintenance phase would be lengthy and had to be very cost effective.
- There was a requirement to be able to differentiate the product, releasing different variants for different markets. New variants had to be developed and maintained efficiently.
- Products would be competing on performance and quality; the product with the best performance and quality would win the final contract.

4.3 Rationale for choosing MDD

Combitech had experience from maintenance on the previous generation of the product which had been developed by another company. The product existed in many different variants for different markets so Combitech was confident that this would be the fact also for the new product. Combitech saw a potential to make the maintenance of the product a lot more efficient by building it according to a product line approach.

Within Combitech there was also extensive experience of working with models in UML and preceding modelling languages such as OMT, Booch, Coad-Yourdon and Objectory, both for analysis and design models. Combitech also had experience of using rule-based transformation from design models directly into code which executed on a platform. However, in real projects Combitech had so far only executed the transformations manually, although experimentation with automatic code generation had been done to a degree where the company felt ready to apply it in a real project. Given this experience there was conviction amongst the project management team that model driven development would help address the challenges of the project by making the team more efficient, agile and flexible regarding the hardware platform:

- **Efficiency:** MDD would eliminate the manual and error prone step of implementing the UML models.
- **Agility:** The approach would make it possible to work in an agile way where one could quickly go from requirements to tested implementation without having to skip documentation, something very important for the maintainability of the product.
- **Flexibility in HW platforms:** MDD would make it possible to test most of the code without access to the actual hardware by simply generating code for different platforms, as the project gained access to hardware that became increasingly similar to the final target.

4.4 Tool selection

Given the tight deadline, an out-of-the-box tool solution was required that would give the following:

- Modelling in standard UML, to minimize the need for training.
- Generation of code with good performance on the target platform, the host platform and the platform for the previous generation of set-top boxes since this would be used as an intermediate test platform.
- 100% of the developed code generated from the model (to avoid synchronization problems with code and model) having at the same time the ability to use pure C++ code where there was a need (to eliminate a potential risk of not being able to do everything possible in the traditional way).
- The ability to debug at the model level.
- Support for distributed team working.
- A high probability that the vendor would continuously improve the tool towards the requirements of embedded real-time system development.

After a brief evaluation Rhapsody from Ilogix was selected as the only tool that seemed to satisfy all these requirements.

The selection of Rhapsody meant that the system was designed as a UML model with action code in C++. This model (the system model) was then automatically converted to full production code in C++ by the tool. The

generated code uses an execution framework (OXF), provided by the tool, to abstract out the target execution platform. In terms of MDA [40], the model built in Rhapsody corresponds most closely to the PIM, where OXF and the generated code corresponds to the PSM.

4.5 The process

The project followed a phased process similar to the RUP model according to Fig. 4.

The main architectural work was done during the Elaboration phase during six months by the two architects. The Construction phase was started with a workshop where the architecture was presented for the design teams. The Construction phase was then performed by approximately 50 developers divided into seven teams during twelve months. To ensure that the design corresponded to the architecture the overall design of each component was reviewed by the architecture team before detailed design of the component was allowed to start. Since there were 166 components, this work occupied the architects almost full time during this phase.

4.6 Capturing the architecture

To be able to meet the deadline about 50 developers were assigned to the project after six months of architectural work undertaken by the architecture team. To be efficient they had to be able to work as independently of each other as possible. This required a stable architecture to be developed during these first six months before the project scaled up. A product line architecture approach [9] was selected to address the requirements for efficient development and maintenance of product variants. In addition to this there were other important quality requirements such as portability (it was anticipated that the software would outlive the hardware) and overall performance, which had to be handled by the architecture. So, an ap-

propriate architecture was fundamental to the success of the project.

One of the first problems to face was how to represent the architecture when working in a MDD context. A basic idea in MDD is to use models instead of documents to represent the requirements and design of a system and to generate the implementation code from these models. The traditional way of representing the architecture is in a document that guides and constrains the detailed design. In model oriented processes, like Rational Unified Process (RUP) [25], where models have replaced requirement specifications and design descriptions, one still represents the architecture in a document. The aim of the project was, insofar as possible, to automatically connect the architecture to the design, thereby minimizing both the maintenance problem and the effort to enforce the architecture in the design. In the end the project management team settled for the following approach:

- The high level structure was to be captured in the system model as UML packages.
- Architectural design rules were to be captured in natural language in a text document supported by a UML class framework in the system model.
- Example components would be designed in a package in the system model illustrating how to follow the architectural rules.

4.6.1 High level structure

The high level partitioning of the system, down to a level at which individual components were to be developed by individual developers, was captured in a package hierarchy populated with classes acting as facades [15] for the actual components. The system was divided into a number of layers according to Fig. 5:

- Hardware Abstraction Layer (HAL), delivered by the hardware manufacturer.

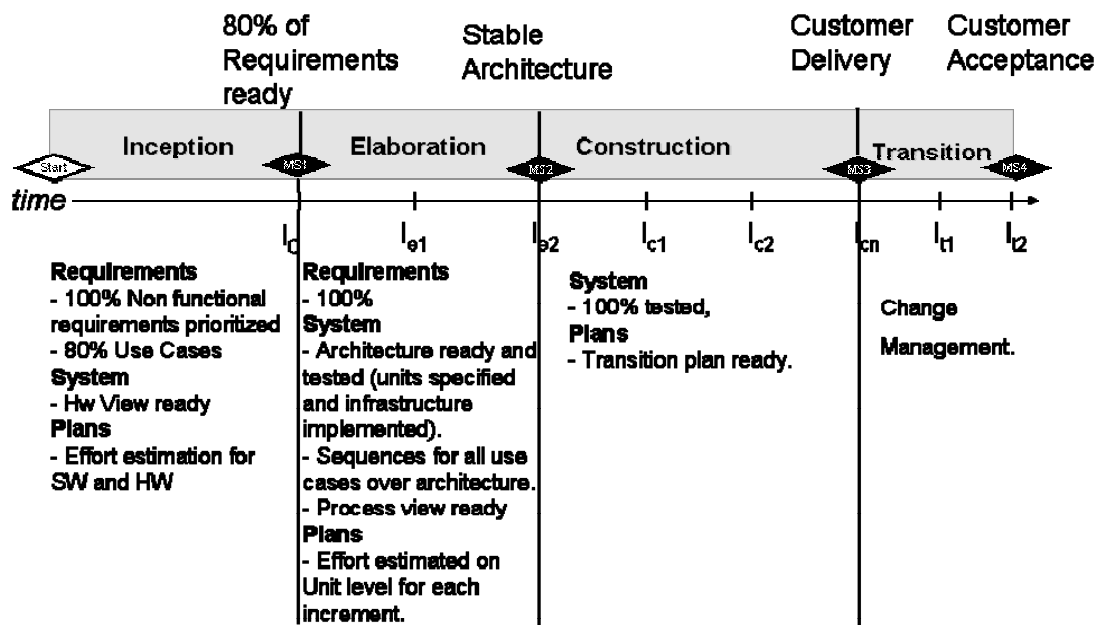


Fig. 4. The phases of the project

- Board Support Package (BSP) delivered by the RTOS vendor.
- RTOS (Real Time Operating System), this was the commercially available RTOS VxWorks.
- RTOS Abstraction (OSAL), a wrapper for the RTOS delivered together with the modelling tool.
- Common Media Drivers (CMD), a set of hardware driver components delivered by the project.
- Common Media Platform (CMP), a set of components reusable on several hardware platforms and for several DVB (Digital Video Broadcasting) standards. This was developed in the project
- Proprietary API (PAPI), the adaptation layer for a specific DVB standard. These components were developed in the project.

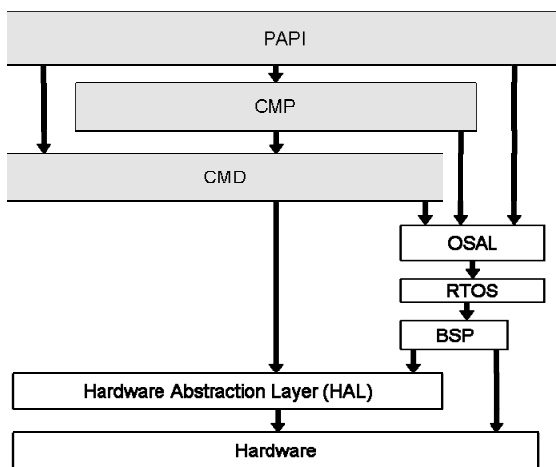


Fig. 5. The layers of the system

Each component was modelled as a package that was to contain the classes that realized the component. Each of these packages was placed in a package representing one of the layers PAPI, CMP or CMD. The architects modelled the system down to the component packages then it was the job of the component designer to define the classes inside the component package.

4.6.2 Architectural design rules

A number of patterns and rules were to be followed when the components in the model were designed. To support these patterns and rules an architecture package with an executable framework was developed as a part of the system-model. Fig. 6 shows a UML class diagram, taken from the system model, showing the classes in this framework. The classes are described with the following text in the architecture document:

- **arcComponentRegistry:** The main task for the arcComponentRegistry is to be the resource provider for and of all arcComponents in a layer. The system's layer dependencies are maintained via

its access to other arcComponentRegistries. This is basically the dispatcher in a *Client-Dispatcher-Server* pattern, see [13].

- **arcComponentUser:** Being an arcComponentUser is the only way of retrieving another component. This is basically the client in a *Client-Dispatcher-Server* pattern, see [13].
- **arcComponent:** This is the basic logical architectural building block. This is basically the server in a *Client-Dispatcher-Server* pattern, see [13].
- **arcComponentFactory:** The arcComponentFactory implements the instantiation rules of arcComponents. There is at least one of these for each concrete specialization of arcComponent in the system. All arcComponentFactories are encapsulated by an arcComponentRegistry
- **arcProfile:** arcProfile is the "root" of the system configuration. Could be viewed as an instance of the reference architecture.
- **arcNotifier:** The arcNotifier notifies its attached arcListeners of important events. This is basically the publisher in a *Publisher-Subscriber* pattern, see [13].
- **arcListener:** The arcListener registers to an arcNotifier to become notified of important events. This is basically the subscriber in a *Publisher-Subscriber* pattern, see [13].
- **arcLocked:** This is a basic resource locking mechanism (semaphore-type). It is primarily intended for transaction locks on shared resources.
- **arcLockedUser:** This is the interface required by an arcLocked component.
- **arcPort:** An arcPort is a system resource locked to one user at a time. The main purpose of a port is to transmit data to and/or from its user.
- **arcPortUser:** This is the interface required by an arcPort component.

In principle, the framework contained abstract base classes representing the core abstractions of the system, relations between them and operations that were to be overridden in specializations of the base classes. The framework also contained full implementations of some basic mechanisms that operated solely on the level of the abstract base classes, such as inter-process resource locking and component registry handling (registration, allocation and de-allocation).

Unfortunately the project could not fully capture the architecture in a formal model. It was necessary to use natural language to express the rules on how to use the architectural framework to design the components in the architecture. There were more than sixty rules that had to be followed. Below is a small, representative subset of these rules taken directly from the architecture rules document.

- "Any *arcComponent* with behaviour similar to an *arcPort* should be a specialization of *arcPort*."

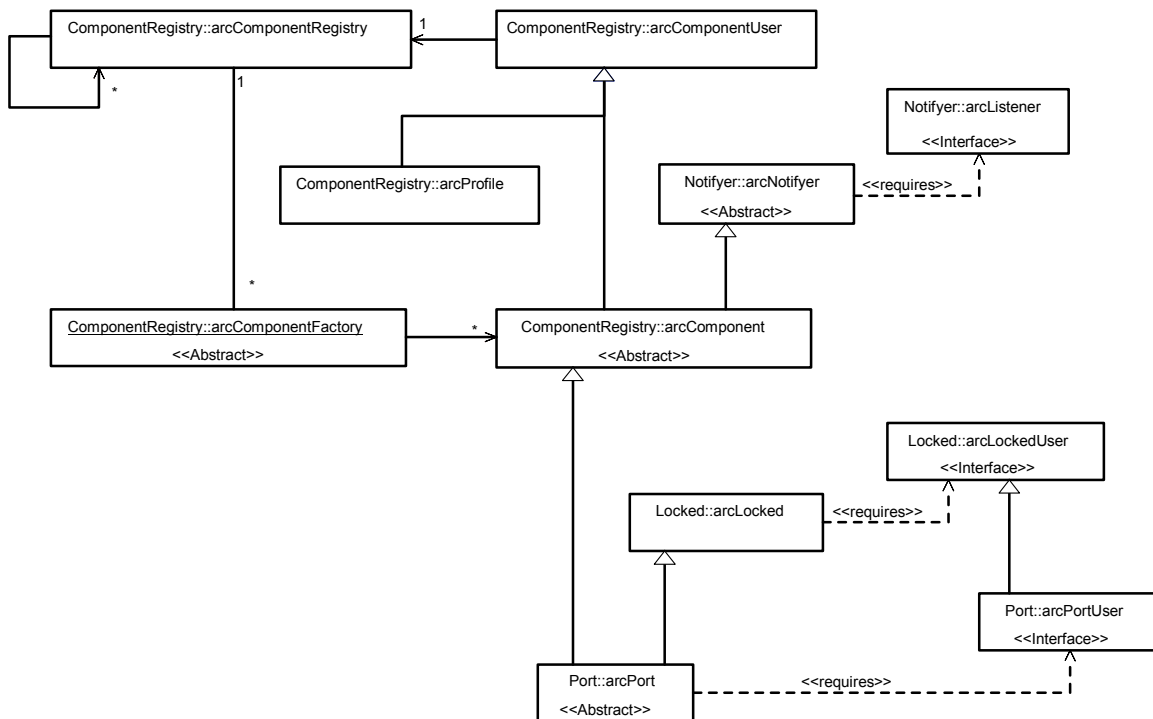


Fig. 6. Executable framework of the architecture

- “All specializations of *arcPort* may have one overloaded method for each of the methods *Open()*, *Close()* and *Write()*”.
- “All specializations of *arcPort* may have several methods for the method *Ctrl()*. These methods shall be named as *ctrl_<specific_name>* and may not change the parameter list of the base class, except for specialization of the parameter classes given for the base class. However, a method may omit the second (parameters) parameter.”
- “*arcPort::Write()* shall be used to stream data to a port’s data output stream.”
- “*arcPort::Ctrl()* shall be used to control and manipulate a port’s properties.”
- “All specializations of *arcPort* must use its parent’s implementation of the base class method for their respective purposes.”
- “All specializations of *arcPort* require a specialization of the *arcPortUser* interface.”
- “All specializations of the *arcPortUser* interface base class may have one overloaded method for each of the methods *RxReady()*, *TxDone()* and *GetMem()*.”
- “All specializations of the *arcPortUser* interface base class shall have one overloaded *CtrlAck()* method for each of the asynchronous *ctrl_<name>* methods.”

4.6.3 Providing example components

To guide the developers in how to develop the components using the architectural framework, a couple of example components were also developed by the architects as a part of the model:

- A component showing how to realize the “pipes

and filter” pattern.

- A component showing how to use interrupts.
- A component showing how to design a “port” component (a specialization of the *arcPort* component). This example was however never completed.

In addition to showing the design, the examples also showed how to use different diagrams to capture the design.

4.7 Manual Reviews of Architectural Conformance

Using natural language to describe architectural design rules meant that the project had to rely heavily on manual reviews to enforce conformance with the architecture. Performing these reviews was a heavy burden for the architects; it took almost all of their time during the first 12 months of development after the first release of the architecture.

The rules proved to be ambiguous and hard to comprehend, and thus prone to different interpretations. Several developers reported having a hard time trying to understand and follow all of the detailed rules. This was manifested by the fact that major corrections were frequently needed after reviews, as shown by the review protocols. Sometimes this meant that a lot of reworking had to be done since reviews were often held when design had continued too long. This was caused by work overload on the architects, which in turn was caused by a lot of effort expended on reviewing the designs generated by the different teams. The progress reports show that it was common that architectural reviews were actually done after a component was completed and tested, which was in violation of the rules which stated that the component had to pass

the review before the detailed design was done.

5 CONCLUSIONS

Architectural design rules are an important part of the architecture and there are no suggestions on how to model them in the current body of literature. The inability to formalize the architectural design rules leads to a need for manual enforcement of them. The research presented here shows that this is an error-prone and time-consuming task that takes most of the effort of the architects during the construction-intensive phases of a project. This problem exists in traditional document-based development as well as in MDD but it is more apparent and acute in MDD. This is because MDD has been able to automate the step from detailed design to implementation eliminating time consuming coding and code reviews. However, MDD has not been able to automate enforcement of the architecture on the detailed design due to the inability to model architectural design rules. The presented case shows that the inability to model architectural design rules makes architectural enforcement a bottleneck in MDD projects. This leads to a plethora of problems, including:

- **Stalled detailed design:** The design teams have to wait for the architects to review their overall design before they can dig deeper into the design.
- **Premature detailed design:** Design teams start detailing their design before their overall design is approved by the architect, with the risk that they will have to redo much work after the review.
- **Low review quality:** Low quality of the reviews, leading to problems later in the project.
- **Poor communication of the architecture:** The architects have no time to handle the communication with the design teams regarding architectural interpretations or problems, problems are “swept under the carpet.”

The implications for theory are that there is a need for further research to find ways of modelling architectural design rules in such a way that they are both amenable to automatic enforcement on the detailed design and easy to understand and use by both architects and developers. The implications for practice are that, until there is support for automatic enforcement of architectural design rules, extra resources are needed for architectural reviews. This has to be taken into account in the planning and in the architectural work practices. Although the architectural design is inherently a task for a relatively small group, it should be possible to delegate the architectural reviews to a larger group. This would give time for the architects to concentrate on the core architectural tasks; designing, communicating and maintaining the architecture.

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